# QMaxSATpb: A Certified MaxSAT-Solver

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Thanks to Jakob Nordström for sharing his proof logging slides.

### COMBINATORIAL SOLVING AND OPTIMISATION

Revolution last couple of decades in combinatorial solvers for

- Boolean satisfiability (SAT) solving [BHvMW21]
- Satisfiability modulo theories (SMT) solving [BHvMW21]
- Constraint programming (CP) [RvBW06]
- Mixed integer linear programming (MIP) [AW13, BR07]
- Answer Set Programming (ASP) [GKKS12]
- Solve NP problems (or worse) very successfully in practice!
- Except solvers are sometimes wrong. . . [BLB10, CKSW13, AGJ<sup>+</sup>18, GSD19, GS19]
- Software testing doesn't suffice to resolve this problem
- ► Formal verification techniques cannot deal with level of complexity of modern solvers

# CERTIFIED RESULTS WITH PROOF LOGGING

### Design certifying algorithms [ABM+11, MMNS11] that

- not only solve problem but also
- do proof logging to certify that solution is correct



#### Workflow:

- 1. Run solver on problem input
- 2. Get as output not only solution but also proof
- 3. Feed input + solution + proof to proof checker
- 4. Verify that proof checker says solution is correct

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# YET ANOTHER SAT SUCCESS STORY

Well established — required in main track of SAT competitions

Many proof logging formats for SAT solving using CNF clausal format:

- ▶ DRAT [HHW13a, HHW13b, WHH14]
- ► GRIT [CMS17]

▶ ...

► *LRAT* [CHH<sup>+</sup>17]

Formally verified proof verifiers exist.

But efficient proof logging has remained out of reach for other paradigms, e.g. Maximum Satisfiability (MaxSAT)

## OUTLINE OF THIS PRESENTATION

The rest of this presentation:

- MaxSAT solver QMaxSAT [KZFH12]
- ► VeriPB [BGMN22, EGMN20b] as proof system.
- Our contribution: QMaxSATpb, A certified MaxSAT solver, by example
- Experimental results
- Future work & Conclusions



#### A partial MaxSAT-instance is a tuple (F, S) with:

- ► *F* the set of hard clauses.
- $\blacktriangleright$  S the set of soft clauses.

A solution is an assignment for all variables such that:

- ► All hard clauses are satisfied.
- No other satisfying assignment satisfies more soft clauses.

## QMaxSAT: IDEA BEHIND THE SOLVER

*QMaxSAT* [KZFH12] is an Iterative Satisfiability-Based MaxSAT solver.

- Given a satisfying assignment, QMaxSAT searches for another assignment with fewer soft clauses falsified.
- Totalizer encoding of cardinality constraints [BB03]



## VeriPB: A GENERAL PURPOSE PROOF SYSTEM

*VeriPB* is a proof system for pseudo-Boolean optimisation [BGMN22, EGMN20b].

It reasons on 0–1 integer linear inequalities  $\sum_i a_i l_i \ge A$  (a.k.a. pseudo-Boolean constraints) with:

- Cutting Planes (CP) proof system [CCT87]
  - e.g., adding up two constraints
- Reverse Unit Propagation [GN03]
  - allows deriving constraints without providing an explicit derivation
- Redundance-Based Strenghtening [GN21, BGMN22]
  - generalisation of the RAT-rule [BT19]
  - ▶ allows introducing "fresh" reification variables, such as  $r \Leftrightarrow (\sum_i a_i l_i \ge A)$ .
- Support for Optimisation [BGMN22]
  - allows deriving model-improving constraints

# QMaxSATpb: AN EXAMPLE

Hard Clauses	Soft Clauses
$\overline{x}_1 \lor x_2$	$\overline{x}_1 \lor \overline{x}_2 \lor r_1$
$x_1 \vee \overline{x}_2$	$x_1 \lor x_2 \lor r_2$
$\overline{x}_2 \lor x_3$	$x_2 \lor x_4 \lor r_3$
$\overline{x}_3 \lor x_4$	

- ▶ Relaxation variables  $r_i$  such that  $C_i$  falsified implies  $r_i$  true.
- ▶ We want to minimize  $\sum_i r_i$

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# QMaxSATpb BY EXAMPLE

		$x_1 \vee x_2$	$x_1 \vee x_2 \vee r_1$
Objective: min $\sum_i r_i$		$x_1 \lor \overline{x}_2$ $x_1 \lor \overline{x}_2$	$x_1 \lor x_2 \lor r_1$ $x_1 \lor x_2 \lor r_2$
<i>VeriPB</i> proof:		$\overline{x}_2 \lor x_3$	$x_2 \lor x_4 \lor r_3$
derived	justification	$\overline{x}_3 \lor x_4$	$x_2 \lor r_2$
$x_2 + r_2 \ge 1$	Reverse Unit Propagation	$^{-}\operatorname{CNF}(p_j \Leftrightarrow (\sum$	$_{i}r_{i}\geq j))$
$\{\overline{x}_1,\ldots,\overline{x}_4,\overline{r}_1,r_2,r_3\}$	Incumbent solution	$\overline{p}_2$	$x_4$
$\sum_{i} r_i \leq 1$	Objective Improvement Rule	$\overline{p}_1$	$\perp$
$\overline{\operatorname{PB}}(p_1 \Leftrightarrow (\sum_i r_i \ge 1))$	Fresh variable (RBS)	* 1	
$\operatorname{PB}(p_2 \Leftrightarrow (\sum_i r_i \ge 2))$		Run	SAT solver to
$\operatorname{CNF}(p_j \Leftrightarrow (\sum_i r_i \ge j))$	Explicit CP derivation	find	model
$\overline{p}_2 \ge 1$	Explicit CP derivation	$\overline{x}_1, \overline{x}_2, \overline{x}_3, \overline{x}_4$	
$x_4 \ge 1$	Reverse Unit Propagation	$\overline{r}_1, \overline{r}_2, \overline{r}_3, \overline{r}_4$	
$\{\overline{x}_1,\overline{x}_2,\overline{x}_3,x_4,\overline{r}_1,r_2,\overline{r}_3\}$	Incumbent solution	'1,'2,'3	
$\sum_{i} r_i \leq 0$	Objective Improvement Rule	Encodo model	
$\overline{p}_1 \ge 1$	Explicit CP derivation	improving con-	Last found solu-
$0 \ge 1$	Reverse Unit Propagation	straints	tion is optimal
		Strames	

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# EXPERIMENTAL RESULTS

MaxSAT evaluations 2021 Resource Limits: *QMaxSAT* (1h, 32GB) — *VeriPB* (10h, 64GB) 10.2% OoT, 2.4% OoM



(a) Performance overhead of proof logging

(b) Performance of proof verification

# FUTURE RESEARCH DIRECTIONS

### Other MaxSAT Algorithms

- Iterative MaxSAT algorithms (e.g., Pacose [PRB18])
  - Uses different encodings of cardinality constraints
- Core-guided MaxSAT algorithms [Ave21, IMMS19, JA17, MTNJ<sup>+</sup>17]
  - Heavily rely on encodings of cardinality constraints
- Implicit hitting sets solvers [DB11]
  - Challenge: certifying the minimality of the hitting sets.

### Proof logging for other combinatorial optimization

- Pseudo-Boolean optimization
- ▶ Mixed integer linear programming (work on SCIP in [CGS17, EG21])
- Satisfiability modulo theories (SMT) solving (work by Bjørner and others)
- Answer Set Programming (ASP–DRUPE [ADF<sup>+</sup>19], but no (native) support for optimization or aggregates (PB constraints). ASP-VeriPB(?))



Proof logging helps:

- Ensuring correctness of a result.
- Debugging in case of a bug.
- Building trust in solvers

*VeriPB*: general-purpose proof system

- Subgraph Isomorphism Problem [GMN20]
- Parity (XOR) reasoning [GN21]
- All Different reasoning (CP) [EGMN20a]

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- Clauses derived by SAT oracle are RUP
- ► Totalizer encoding of cardinality constraints can be proven by an explicit CP derivation
- Proof logging is possible without too much overhead, verifying proofs is harder.

# Thank you for your attention!



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# 0-1 INTEGER LINEAR (A.K.A. PSEUDO-BOOLEAN) CONSTRAINTS

Pseudo-Boolean (PB) constraints are 0-1 integer linear constraints

$$C \doteq \sum_{i} a_i \ell_i \ge A$$

$$\blacktriangleright a_i, A \in \mathbb{Z}$$

- literals  $\ell_i$ :  $x_i$  or  $\overline{x}_i$  (where  $x_i + \overline{x}_i = 1$ )
- ▶ variables  $x_i$  take values 0 = false or 1 = true

Pseudo-Boolean formulas are conjunctions of pseudo-Boolean constraints

A pseudo-Boolean optimisation problem is a formula F with a linear objective function.

# SOME TYPES OF PSEUDO-BOOLEAN CONSTRAINTS

#### 1. Clauses

$$x \vee \overline{y} \vee z \quad \Leftrightarrow \quad x + \overline{y} + z \ge 1$$

2. Cardinality constraints

$$x_1 + x_2 + x_3 + x_4 \ge 2$$

3. General pseudo-Boolean constraints

$$x_1 + 2\overline{x}_2 + 3x_3 + 4\overline{x}_4 + 5x_5 \ge 7$$

# PSEUDO-BOOLEAN REASONING: CUTTING PLANES [CCT87]

$$\begin{split} \textbf{Literal axioms} & \overline{-\ell_i \ge 0} \\ \textbf{Linear combination} & \frac{\sum_i a_i \ell_i \ge A}{\sum_i (c_A a_i + c_B b_i) \ell_i \ge c_A A + c_B B} \quad [c_A, c_B \in \mathbb{N}] \\ \hline \textbf{Division} & \frac{\sum_i ca_i \ell_i \ge A}{\sum_i a_i \ell_i \ge \lceil A/c \rceil} \quad [c \in \mathbb{N}^+] \\ \textbf{Toy example:} \\ \textbf{Lin comb} & \frac{2x + 4y + 2z + w \ge 5}{2x + 4y + 2z + 3w \ge 9} & \overline{z} \ge 0 \\ \hline \textbf{Division} & \frac{6x + 6y + 2z + 3w \ge 9}{2x + 2y + w \ge 3} \\ \end{split}$$

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# EXTENSION RULE: REDUNDANCE-BASED STRENGTHENING

C is redundant with respect to F if F and  $F\wedge C$  are equisatisfiable Want to allow adding redundant constraints

#### Redundance-based strengthening [BT19, GN21, BGMN22]

C is redundant with respect to F if and only if there is a substitution  $\omega$  (mapping variables to truth values or literals), called a witness, for which

 $F \wedge \overline{C} \models (F \wedge C) \restriction_{\omega}$