

Combinatorial Solving with Provably Correct Results: from SAT to MaxSAT (and Beyond?)

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(thanks to numerous collaborators)

KU Leuven

25/11/2024 – TAASP workshop



ARTIFICIAL
INTELLIGENCE
RESEARCH GROUP



OUTLINE

1. Introduction
 1. The Success of Combinatorial Solving (and the Dirty Little Secret...)
 2. Ensuring Correctness with the Help of Proof Logging
2. Proof Logging for SAT
 1. SAT Basics
 2. DPLL and CDCL
 3. Proof System for SAT Proof Logging
3. Pseudo-Boolean Proof Logging
 1. Pseudo-Boolean Constraints and Cutting Planes Reasoning
 2. Pseudo-Boolean Proof Logging for SAT Solving
 3. More Pseudo-Boolean Proof Logging Rules
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 1. Linear SAT-UNSAT Search
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COMBINATORIAL SOLVING AND OPTIMISATION

- ▶ Revolution last couple of decades in **combinatorial solvers** for
 - ▶ Boolean satisfiability (SAT) solving [BHvMW21]¹
 - ▶ Constraint programming (CP) [RvBW06]
 - ▶ Mixed integer linear programming (MIP) [AW13, BR07]
- ▶ Solve NP-complete problems (or worse) very successfully in practice!
- ▶ **Except solvers are sometimes wrong...** (Even best commercial ones) [BLB10, CKSW13, AGJ⁺18, GSD19, GS19, BMN22, BBN⁺23]
- ▶ Even get feasibility of solutions wrong (though this should be straightforward!)
- ▶ And how to check the absence of solutions?
- ▶ Or that a solution is optimal? (Even off-by-one mistakes can snowball into large errors if solver used as subroutine)

¹See end of slides for all references with bibliographic details

WHAT CAN BE DONE ABOUT SOLVER BUGS?

- ▶ **Software testing**

Hard to get good test coverage for sophisticated solvers
Inherently can only detect presence of bugs, not absence

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▶ **Proof logging**

Make solver **certifying** [ABM⁺11, MMNS11] by outputting

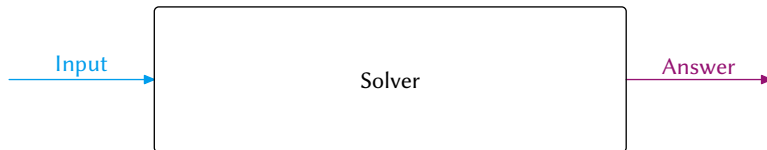
1. not only **answer** but also
2. simple, machine-verifiable **proof** that answer is correct

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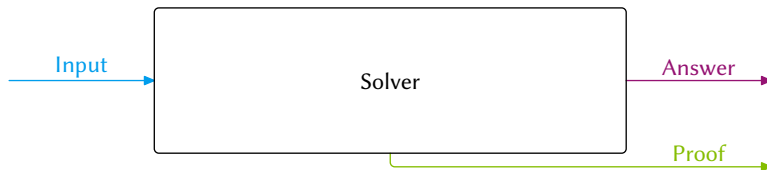


PROOF LOGGING WITH CERTIFYING SOLVERS: WORKFLOW



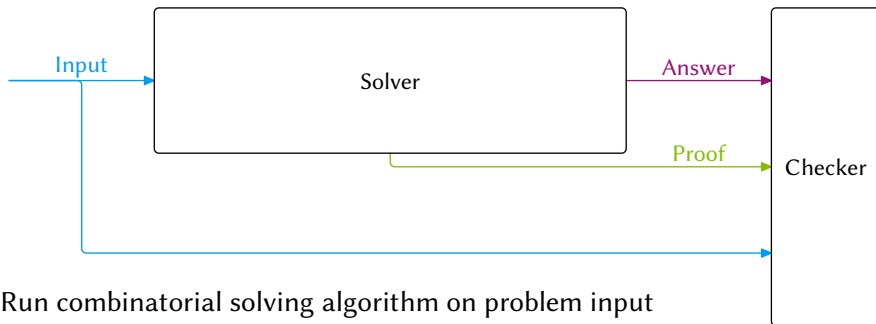
1. Run combinatorial solving algorithm on problem input

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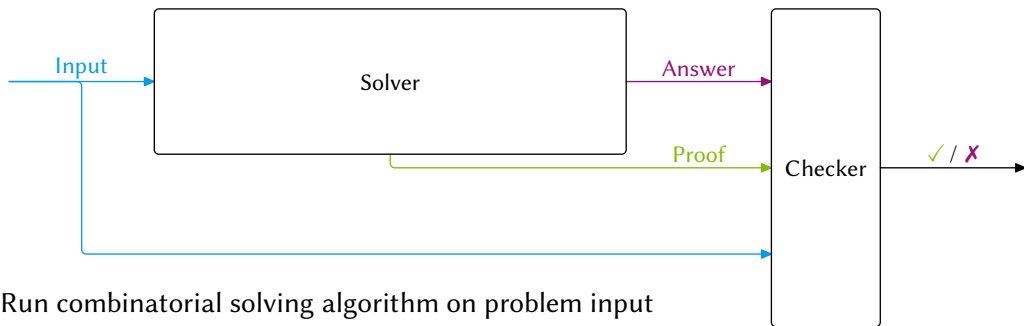
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PROOF LOGGING WITH CERTIFYING SOLVERS: WORKFLOW



1. Run combinatorial solving algorithm on problem input
2. Get as output not only answer but also proof
3. Feed input + answer + proof to proof checker

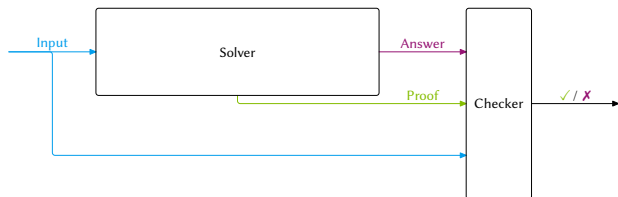
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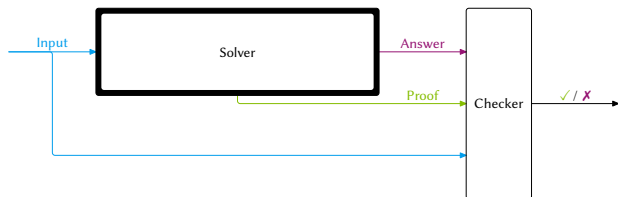
1. Run combinatorial solving algorithm on problem input
2. Get as output not only answer but also proof
3. Feed input + answer + proof to proof checker
4. Verify that proof checker says answer is correct

PROOF LOGGING DESIDERATA

Proof format for certifying solver should be



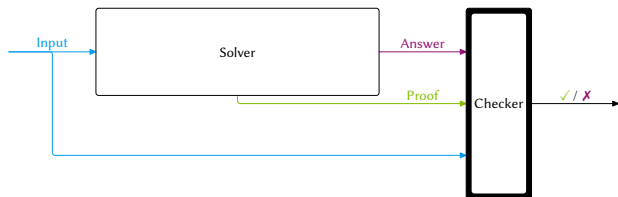
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Proof format for certifying solver should be

- ▶ **very powerful:** minimal overhead for sophisticated reasoning

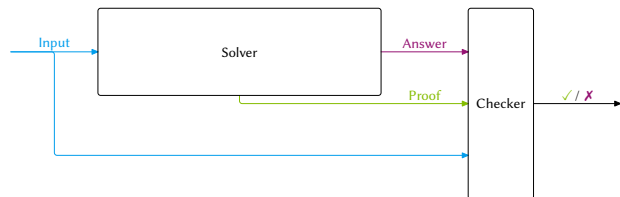
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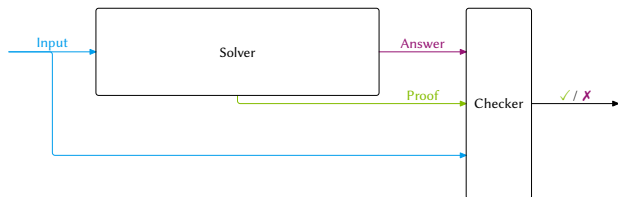


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Clear conflict expressivity vs. simplicity!

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Clear conflict expressivity vs. simplicity!

Asking for both perhaps a little bit too good to be true?

TAKE-AWAY MESSAGE

Proof logging for combinatorial optimisation is possible with **single, unified method!**

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Proof logging for combinatorial optimisation is possible with **single, unified method!**

- ▶ Build on successes in proof logging for SAT solvers with proof formats such as DRAT [HHW13a, HHW13b, WHH14], GRIT [CMS17], LRAT [CHH⁺17], ...
- ▶ But represent constraints as **0–1 integer linear inequalities**
- ▶ Formalize reasoning using **cutting planes** [CCT87] proof system
- ▶ Add well-chosen **strengthening rules** [Goc22, GN21, BGMN23]
- ▶ Implemented in **VERIPB** (<https://gitlab.com/MIA0research/software/VeriPB>)



THE SALES PITCH FOR PROOF LOGGING

1. Certifies correctness of computed results
2. Detects errors even if due to compiler bugs, hardware failures, or cosmic rays
3. Provides debugging support during development [EG21, GMM⁺20, KM21, BBN⁺23]
4. Facilitates performance analysis
5. Helps identify potential for further improvements
6. Enables auditability
7. Serves as stepping stone towards explainability

APPLICATIONS OF VERIPB

VERIPB has been used to do proof logging for

- ▶ SAT solving (including advanced techniques)
- ▶ SAT-based optimisation (MaxSAT) (this talk!)
- ▶ Subgraph algorithms
- ▶ Constraint programming
- ▶ Symmetry and dominance reasoning

in a unified way

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THE SAT PROBLEM

- ▶ **Variable** x : takes value **true** (=1) or **false** (=0)
- ▶ **Literal** ℓ : variable x or its negation \bar{x}
- ▶ **Clause** $C = \ell_1 \vee \dots \vee \ell_k$: disjunction of literals
(Consider as sets, so no repetitions and order irrelevant)
- ▶ **Conjunctive normal form (CNF) formula** $F = C_1 \wedge \dots \wedge C_m$: conjunction of clauses

The SAT Problem

Given a CNF formula F , is it satisfiable?

For instance, what about:

$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge \\ (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$

PROOFS FOR SAT

For satisfiable instances: just specify satisfying assignment

For unsatisfiability: a sequence of clauses (CNF constraints)

- ▶ Each clause follows “obviously” from everything we know so far
- ▶ Final clause is empty, meaning contradiction (written \perp)
- ▶ Means original formula must be inconsistent

WHAT IS OBVIOUS? UNIT PROPAGATION

Unit Propagation

Clause C **unit propagates** ℓ under partial assignment ρ if ρ falsifies all literals in C except ℓ

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Proof checker should know how to unit propagate until saturation

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DAVIS-PUTMAN-LOGEMANN-LOVELAND (DPLL)

DPLL [DP60, DLL62]: Assign variables and propagate; backtrack when clause violated

“Proof trace”: when backtracking, write negation of guesses made

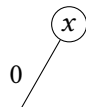
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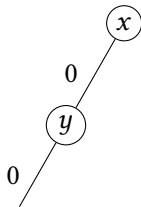


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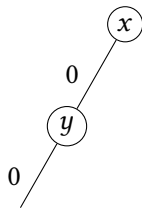


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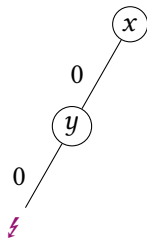
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1. $x \vee y$



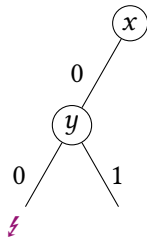
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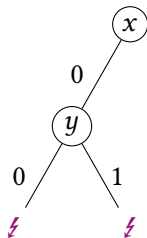
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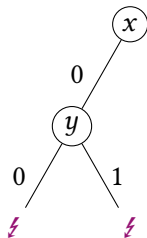
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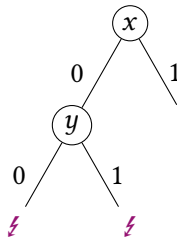
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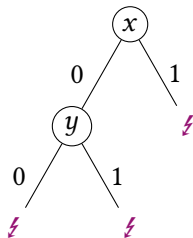
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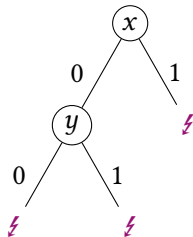
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1. $x \vee y$
2. $x \vee \bar{y}$
3. x
4. \bar{x}
5. \perp



REVERSE UNIT PROPAGATION (RUP)

To make this a proof, need backtrack clauses to be easily verifiable

REVERSE UNIT PROPAGATION (RUP)

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Reverse unit propagation (RUP) clause [GN03, Van08]

C is a **reverse unit propagation (RUP)** clause with respect to F if

- ▶ assigning C to false
- ▶ then unit propagating on F until saturation
- ▶ leads to contradiction

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Fact

Backtrack clauses from DPLL solver generate a RUP proof

WHAT ABOUT CONFLICT-DRIVEN CLAUSE LEARNING (CDCL)?

Run CDCL [BS97, MS99, MMZ⁺01] on our favourite CNF formula:

$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$

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Decision

Free choice to assign value to variable

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Forced choice to avoid falsifying clause

Given $p = 0$, clause $p \vee \bar{u}$ forces $u = 0$

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$$p \stackrel{d}{=} 0$$

$$u \stackrel{p \vee \bar{u}}{=} 0$$

$$q \stackrel{d}{=} 0$$

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$$x \stackrel{d}{=} 0$$

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$$x \stackrel{d}{=} 0$$

$$y \stackrel{u \vee x \vee y}{=} 1$$

$$z \stackrel{x \vee \bar{y} \vee z}{=} 1$$

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$$\perp$$

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$$p \stackrel{d}{=} 0$$

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$$r \stackrel{q \vee r}{=} 1$$

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$$x \stackrel{d}{=} 0$$

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decision
level 1

Decision

Free choice to assign value to variable

Notation $p \stackrel{d}{=} 0$

decision
level 2

Unit propagation

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Given $p = 0$, clause $p \vee \bar{u}$ forces $u = 0$

Notation $u \stackrel{p \vee \bar{u}}{=} 0$ ($p \vee \bar{u}$ is **reason clause**)

decision
level 3

Always propagate if possible, otherwise decide

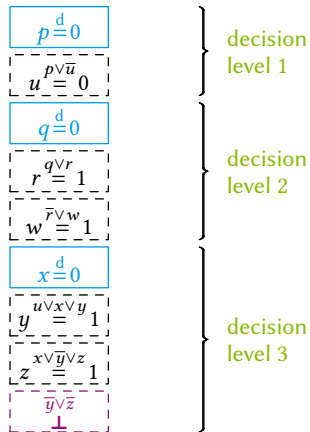
Add to assignment **trail**

Continue until satisfying assignment or **conflict**

CONFLICT ANALYSIS

Time to analyse this conflict and learn from it!

$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$



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$$w \stackrel{\bar{r} \vee w}{=} 1$$

$$x \stackrel{d}{=} 0$$

$$y \stackrel{u \vee x \vee y}{=} 1$$

$$z \stackrel{x \vee \bar{y} \vee z}{=} 1$$

$$\bar{y} \vee \bar{z} \\ \perp$$

decision
level 1

decision
level 2

decision
level 3

Could backtrack by erasing **conflict level** & flipping last decision

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$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$

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decision
level 2

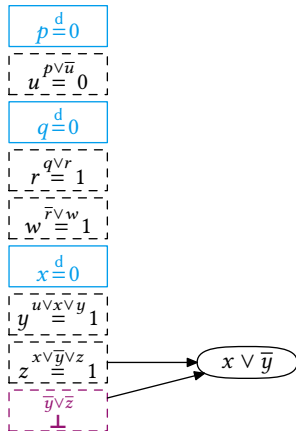
But want to **learn** from conflict and cut away as much of search space as possible

decision
level 3

CONFLICT ANALYSIS

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$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$



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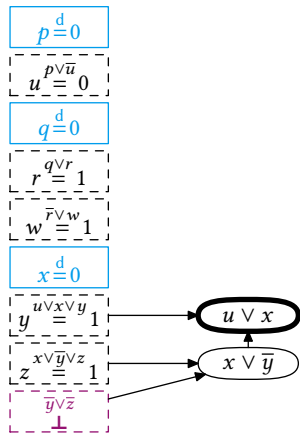
Case analysis over z for last two clauses:

- ▶ $x \vee \bar{y} \vee z$ wants $z = 1$
- ▶ $\bar{y} \vee \bar{z}$ wants $z = 0$
- ▶ **Resolve** clauses by merging them & removing z — must satisfy $x \vee \bar{y}$

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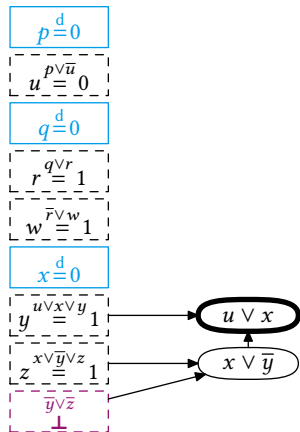
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Repeat until **UIP clause** with only 1 variable at conflict level after last decision. **learn and backtrack**

COMPLETE EXAMPLE OF CDCL EXECUTION

Backjump: undo max #decisions while learned clause propagates

$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$



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$$p \stackrel{d}{=} 0$$

$$u \stackrel{p \vee \bar{u}}{=} 0$$

$$q \stackrel{d}{=} 0$$

$$r \stackrel{q \vee r}{=} 1$$

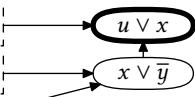
$$w \stackrel{\bar{r} \vee w}{=} 1$$

$$x \stackrel{d}{=} 0$$

$$y \stackrel{u \vee x \vee y}{=} 1$$

$$z \stackrel{x \vee \bar{y} \vee z}{=} 1$$

$$\bar{y} \vee \bar{z} \perp$$



$$p \stackrel{d}{=} 0$$

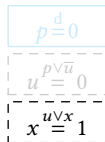
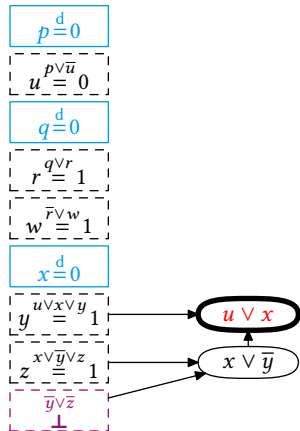
$$u \stackrel{p \vee \bar{u}}{=} 0$$

Assertion level 1 (2nd largest level in learned clause) — trim trail to that level

COMPLETE EXAMPLE OF CDCL EXECUTION

Backjump: undo max #decisions while learned clause propagates

$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$



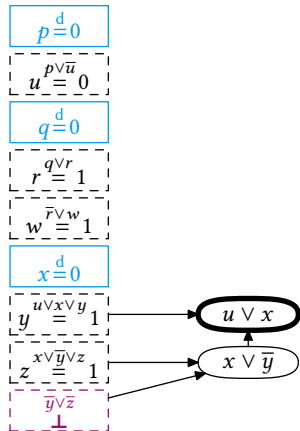
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COMPLETE EXAMPLE OF CDCL EXECUTION

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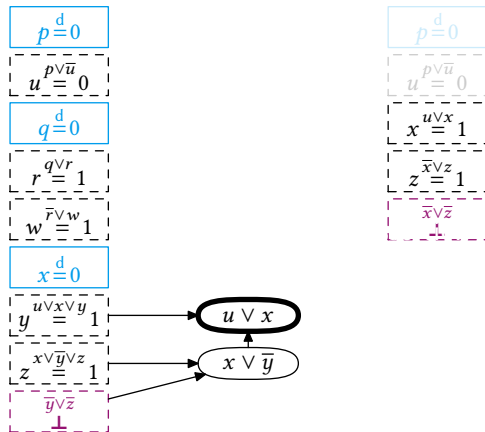
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Then continue as before...

COMPLETE EXAMPLE OF CDCL EXECUTION

Backjump: undo max #decisions while learned clause propagates

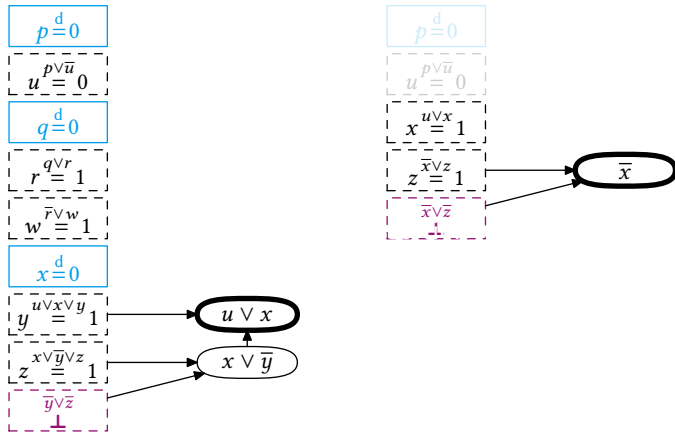
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$$p \stackrel{d}{=} 0$$

$$u \stackrel{p \vee \bar{u}}{=} 0$$

$$q \stackrel{d}{=} 0$$

$$r \stackrel{q \vee r}{=} 1$$

$$w \stackrel{\bar{r} \vee w}{=} 1$$

$$x \stackrel{d}{=} 0$$

$$y \stackrel{u \vee x \vee y}{=} 1$$

$$z \stackrel{x \vee \bar{y} \vee z}{=} 1$$

$$\bar{y} \vee \bar{z} \perp$$

$$u \vee x$$

$$x \vee \bar{y}$$

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$$\bar{x} \stackrel{d}{=} 0$$

 \bar{x}

COMPLETE EXAMPLE OF CDCL EXECUTION

Backjump: undo max #decisions while learned clause propagates

$$(p \vee \bar{u}) \wedge (q \vee r) \wedge (\bar{r} \vee w) \wedge (u \vee x \vee y) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee z) \wedge (\bar{y} \vee \bar{z}) \wedge (\bar{x} \vee \bar{z}) \wedge (\bar{p} \vee \bar{u})$$

$$p \stackrel{d}{=} 0$$

$$u \stackrel{p \vee \bar{u}}{=} 0$$

$$q \stackrel{d}{=} 0$$

$$r \stackrel{q \vee r}{=} 1$$

$$w \stackrel{\bar{r} \vee w}{=} 1$$

$$x \stackrel{d}{=} 0$$

$$y \stackrel{u \vee x \vee y}{=} 1$$

$$z \stackrel{x \vee \bar{y} \vee z}{=} 1$$

$$\bar{y} \vee \bar{z}$$

$$u \vee x$$

$$x \vee \bar{y}$$

$$p \stackrel{d}{=} 0$$

$$u \stackrel{p \vee \bar{u}}{=} 0$$

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$$\bar{x} \vee \bar{z}$$

$$\bar{x}$$

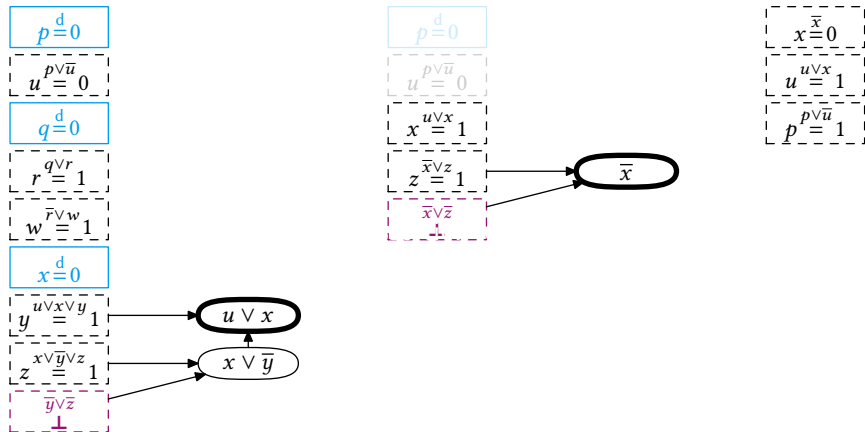
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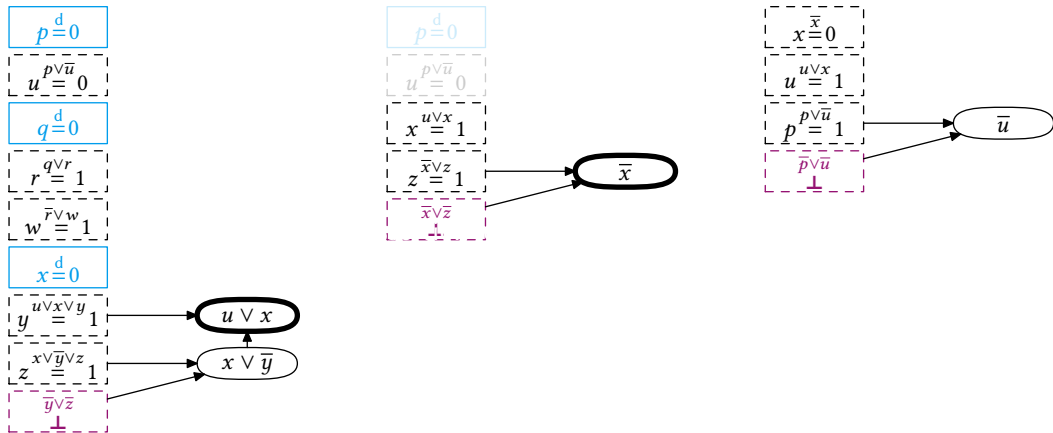
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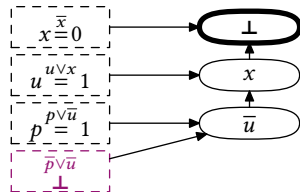
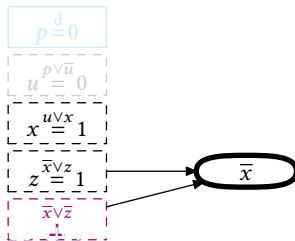
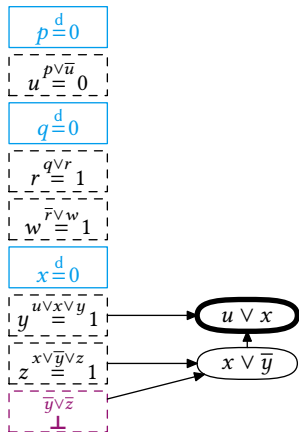
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CDCL REASONING AND THE RESOLUTION PROOF SYSTEM

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Resolution proof system [Bla37, Rob65]

- ▶ Start with clauses of formula (**axioms**)
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$$\frac{C \vee x \quad D \vee \bar{x}}{C \vee D}$$

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When run on unsatisfiable formula, **CDCL generates resolution proof***

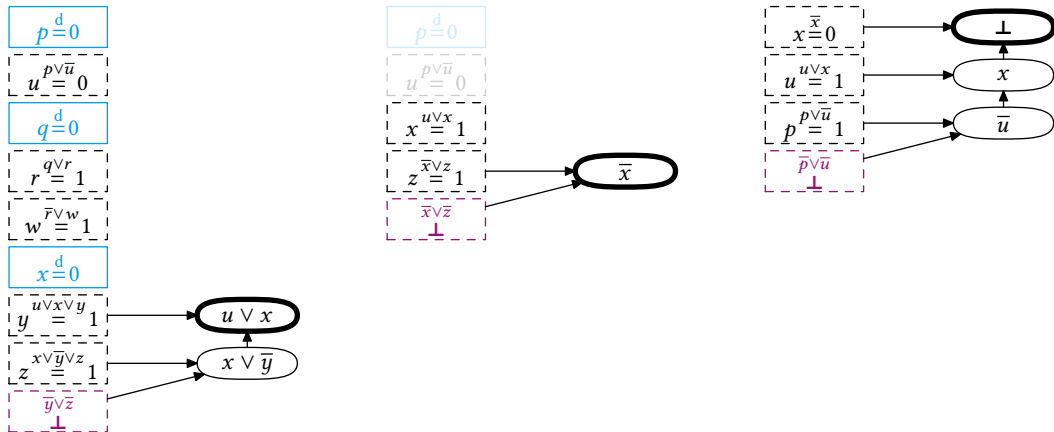
(*) Ignores pre- and inprocessing, but we will get there...

RESOLUTION PROOFS FROM CDCL EXECUTIONS

Obtain resolution proof...

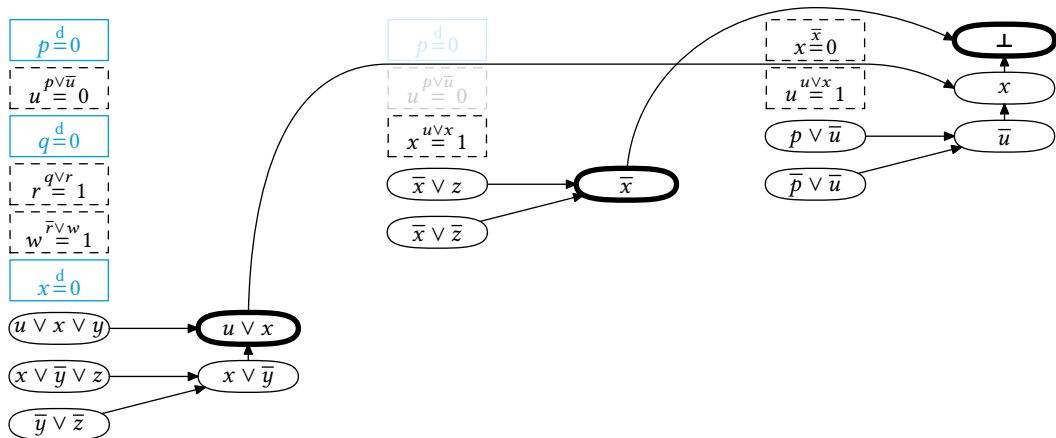
RESOLUTION PROOFS FROM CDCL EXECUTIONS

Obtain resolution proof from our example CDCL execution...



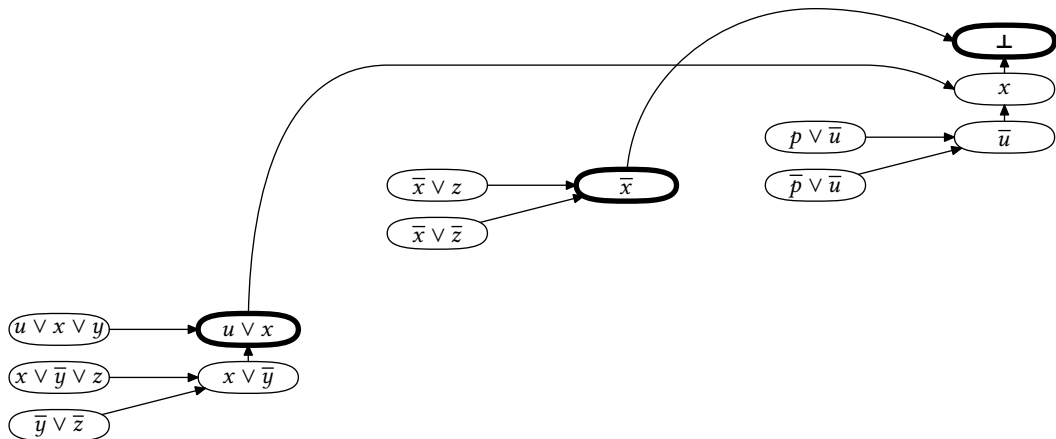
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Obtain resolution proof from our example CDCL execution by stringing together conflict analyses:



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But it turns out we can be lazier...

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All learned clauses generated by CDCL solver are RUP clauses

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is sequence of reverse unit propagation (RUP) clauses

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MORE INGREDIENTS IN PROOF LOGGING FOR SAT

Fact

RUP proofs can be viewed as shorthand for resolution proofs

See [BN21] for more on this and connections to SAT solving

But RUP and resolution are not enough for preprocessing, inprocessing, and some other kinds of reasoning

EXTENSION VARIABLES, PART 1

Suppose we want a variable a encoding

$$a \Leftrightarrow (x \wedge y)$$

Extended resolution [Tse68]

Resolution rule plus **extension rule** introducing clauses

$$a \vee \bar{x} \vee \bar{y} \quad \bar{a} \vee x \quad \bar{a} \vee y$$

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Fact

Extended resolution (RUP + definition of new variables) is essentially equivalent to the DRAT proof logging system most commonly used for SAT solving

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WHY AREN'T WE DONE?

Practical limitations of current SAT proof logging technology:

- ▶ Difficulties dealing with stronger reasoning efficiently (even for SAT solving)
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Surprising claim: a slight change to **0-1 integer linear inequalities** does the job!

- ▶ Enables proof logging for **advanced SAT techniques** so far beyond reach for efficient DRAT proof logging:
 - ▶ Cardinality reasoning
 - ▶ Gaussian elimination
 - ▶ Symmetry breaking
- ▶ Supports use of SAT solvers for **optimisation problems (MaxSAT)**
- ▶ Can justify **graph reasoning** without knowing what a graph is
- ▶ Can justify **constraint programming** inference without knowing what an integer variable is

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PSEUDO-BOOLEAN CONSTRAINTS

0–1 integer linear inequalities or (linear) pseudo-Boolean constraints:

$$\sum_i a_i \ell_i \geq A$$

- ▶ $a_i, A \in \mathbb{Z}$
- ▶ **literals** ℓ_i : x_i or \bar{x}_i (where $x_i + \bar{x}_i = 1$)

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Sometimes convenient to use **normalized form** [Bar95] with **all a_i, A positive** (without loss of generality)

SOME TYPES OF PSEUDO-BOOLEAN CONSTRAINTS

1. Clauses

$$x_1 \vee \bar{x}_2 \vee x_3 \quad \Leftrightarrow \quad x_1 + \bar{x}_2 + x_3 \geq 1$$

2. Cardinality constraints

$$x_1 + x_2 + x_3 + x_4 \geq 2$$

3. General pseudo-Boolean constraints

$$x_1 + 2\bar{x}_2 + 3x_3 + 4\bar{x}_4 + 5x_5 \geq 7$$

PSEUDO-BOOLEAN REASONING: CUTTING PLANES

Input/model axioms

From the input

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Input/model axioms

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Literal axioms

$$\overline{\ell_i \geq 0}$$

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Addition

$$\frac{\sum_i a_i \ell_i \geq A \quad \sum_i b_i \ell_i \geq B}{\sum_i (a_i + b_i) \ell_i \geq A + B}$$

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Multiplication for any $c \in \mathbb{N}^+$

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Division for any $c \in \mathbb{N}^+$ (assumes normalized form)

$$\frac{\sum_i a_i \ell_i \geq A}{\sum_i \lceil \frac{a_i}{c} \rceil \ell_i \geq \lceil \frac{A}{c} \rceil}$$

CUTTING PLANES TOY EXAMPLE

$$w + 2x + y \geq 2$$

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$$\text{Multiply by 2} \quad \frac{w + 2x + y \geq 2}{2w + 4x + 2y \geq 4}$$

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$$\text{Multiply by 2} \quad \frac{w + 2x + y \geq 2}{2w + 4x + 2y \geq 4} \quad w + 2x + 4y + 2z \geq 5$$

CUTTING PLANES TOY EXAMPLE

$$\begin{array}{l} \text{Multiply by 2} \quad w + 2x + y \geq 2 \\ \hline 2w + 4x + 2y \geq 4 \quad w + 2x + 4y + 2z \geq 5 \\ \text{Add} \quad \hline 3w + 6x + 6y + 2z \geq 9 \end{array}$$

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 \text{Multiply by 2} \quad w + 2x + y \geq 2 \\
 \hline
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 2w + 4x + 2y \geq 4 \quad w + 2x + 4y + 2z \geq 5 \quad \bar{z} \geq 0 \\
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 3w + 6x + 6y + 2z \geq 9 \quad \hline
 \text{Add} \quad \hline
 3w + 6x + 6y \quad \geq 7 \\
 \text{Divide by 3} \quad \hline
 w + 2x + 2y \geq 2\frac{1}{3}
 \end{array}
 \quad \text{Multiply by 2}$$

CUTTING PLANES TOY EXAMPLE

$$\begin{array}{r}
 \text{Multiply by 2} \quad w + 2x + y \geq 2 \\
 \hline
 2w + 4x + 2y \geq 4 \quad w + 2x + 4y + 2z \geq 5 \quad \bar{z} \geq 0 \\
 \text{Add} \quad \hline
 3w + 6x + 6y + 2z \geq 9 \quad \hline
 \text{Add} \quad \hline
 3w + 6x + 6y \quad \geq 7 \\
 \text{Divide by 3} \quad \hline
 w + 2x + 2y \geq 3
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Naming constraints by integers and literal axioms by the literal involved (with \sim for negation) as

$$\text{Constraint 1} \doteq 2x + y + w \geq 2$$

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 \text{Add} \quad \hline
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 2\bar{z} \geq 0 \quad \text{Multiply by 2} \\
 \text{Add} \quad \hline
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such a calculation is written in the proof log in reverse Polish notation as

pol 1 2 * 2 + ~z 2 * + 3 d

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RESOLUTION AND CUTTING PLANES

To simulate resolution step such as

$$\frac{\bar{y} \vee \bar{z} \quad x \vee \bar{y} \vee z}{x \vee \bar{y}}$$

we can perform the cutting planes steps

$$\begin{array}{l} \text{Add} \\ \hline \bar{y} + \bar{z} \geq 1 \quad x + \bar{y} + z \geq 1 \\ \hline x + 2\bar{y} \geq 1 \\ \text{Divide by 2} \\ \hline x + \bar{y} \geq 1 \end{array}$$

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Given that the premises are clauses 7 and 5 in our example CNF formula, using references

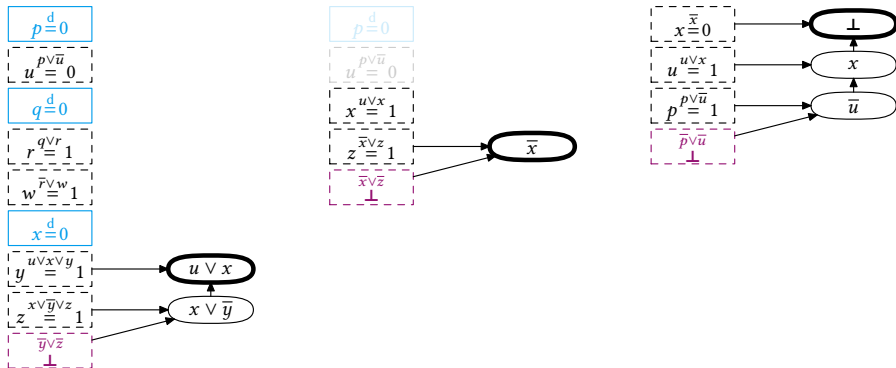
$$\text{Constraint 7} \doteq \bar{y} + \bar{z} \geq 1$$

$$\text{Constraint 5} \doteq x + \bar{y} + z \geq 1$$

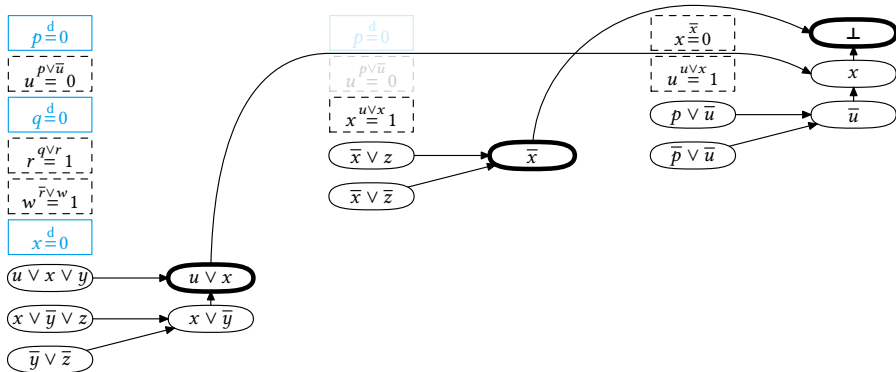
we can write this in the proof log as

pol 7 5 + 2 d

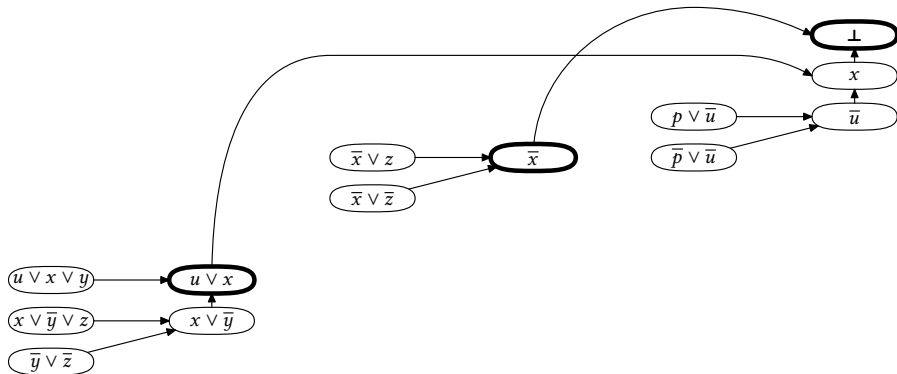
PSEUDO-BOOLEAN PROOF LOGGING FOR CDCL EXAMPLE



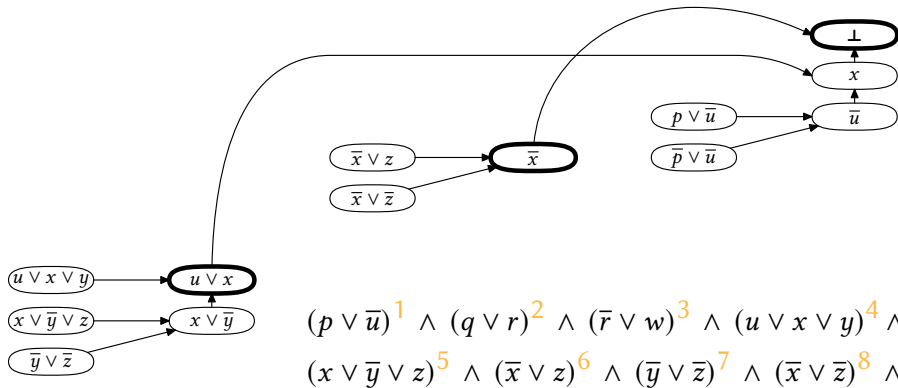
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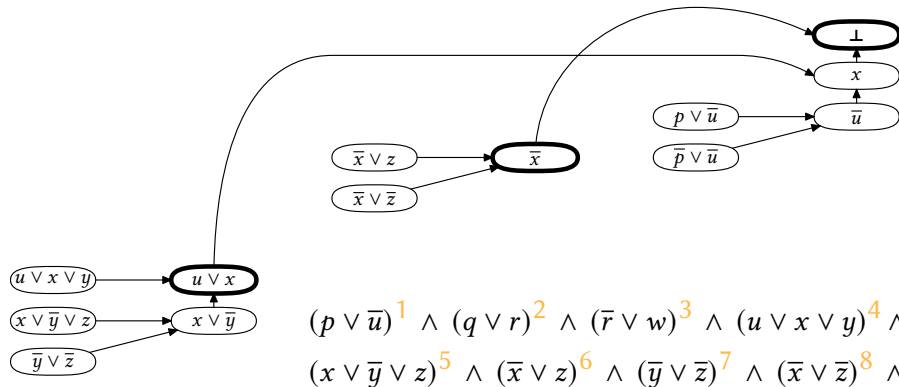
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PSEUDO-BOOLEAN PROOF LOGGING FOR CDCL EXAMPLE



PSEUDO-BOOLEAN PROOF LOGGING FOR CDCL EXAMPLE



pol 7 5 + 2 d 4 + 2 d

pol 8 6 + 2 d

pol 9 1 + 2 d 10 + 2 d 11 + 2 d

\rightsquigarrow Constraint 10 $\doteq u + x \geq 1$

\rightsquigarrow Constraint 11 $\doteq \bar{x} \geq 1$

\rightsquigarrow Constraint 12 $\doteq 0 \geq 1$ ⚡

RUP REVISITED

Can define (reverse) unit propagation in a pseudo-Boolean setting

Constraint C propagates variable x if setting x to “wrong value” would make C unsatisfiable

E.g., if x_5 is false,

$$x_1 + 2\bar{x}_2 + 3x_3 + 4\bar{x}_4 + 5x_5 \geq 7$$

would propagate \bar{x}_4 (since other coefficients do not add up to 7)

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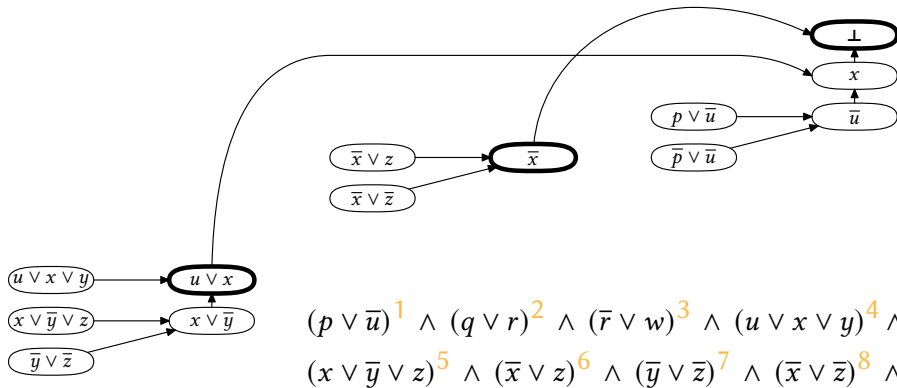
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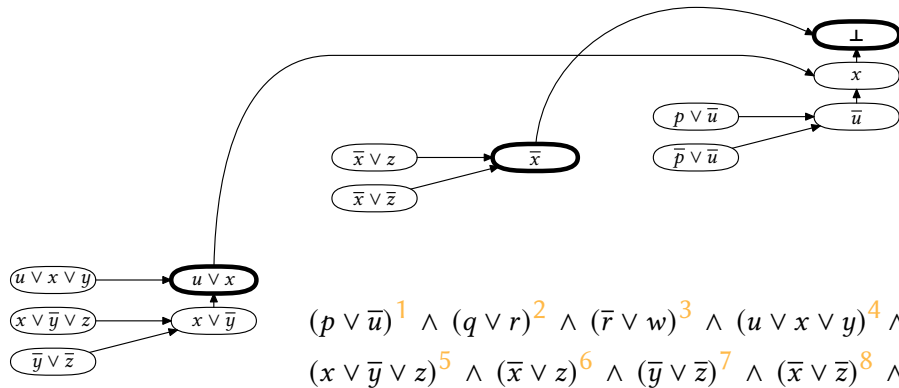
Risk for confusion:

- ▶ Constraint programming people might call this (reverse) integer bounds consistency
 - ▶ Does the same thing if we're working with clauses
 - ▶ More interesting for general pseudo-Boolean constraints
- ▶ SAT people beware: constraints can propagate multiple times and multiple variables

PB PROOF LOGGING FOR EXAMPLE CDCL EXECUTION WITH RUP



PB PROOF LOGGING FOR EXAMPLE CDCL EXECUTION WITH RUP



rup 1 u 1 x >= 1 ;

rup 1 ~x >= 1 ;

rup >= 1 ;

↔ Constraint 10 $\doteq u + x \geq 1$

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EXTENSION VARIABLES, PART 2

Suppose we want new, fresh variable a encoding

$$a \Leftrightarrow (3x + 2y + z + w \geq 3)$$

This time, introduce constraints

$$3\bar{a} + 3x + 2y + z + w \geq 3 \quad 5a + 3\bar{x} + 2\bar{y} + \bar{z} + \bar{w} \geq 5$$

Again, needs support from the proof system

PROOF LOGS FOR “EXTENDED CUTTING PLANES”

For satisfiable instances: just specify a satisfying assignment.

For unsatisfiability: a sequence of **pseudo-Boolean constraints** in (slight extension of) OPB format [RM16]

- ▶ Each constraint follows “obviously” from what is known so far
- ▶ Either implicitly, by RUP...
- ▶ Or by an explicit cutting planes derivation...
- ▶ Or as an extension variable reifying a new constraint*
- ▶ Final constraint is $0 \geq 1$

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(*) Not actually implemented this way — details to come later...

DELETING CONSTRAINTS

In practice, important to erase constraints to save memory and time during verification

Fairly straightforward to deal with from the point of view of proof logging

So ignored in this tutorial for simplicity and clarity

ENUMERATION AND OPTIMISATION PROBLEMS

Enumeration:

- ▶ When a solution is found, can log it
- ▶ Introduces a new constraint saying “not this solution”
- ▶ So the proof semantics is “infeasible, except for all the solutions I told you about”

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For optimisation:

- ▶ Define an objective $f = \sum_i w_i l_i$, $w_i \in \mathbb{Z}$, to minimise subject to the constraints in the formula
- ▶ To maximise, negate objective
- ▶ Log a solution α ; get an objective-improving constraint $\sum_i w_i l_i \leq -1 + \sum_i w_i \alpha(l_i)$
- ▶ Semantics for proof of optimality: “infeasible to find better solution than best so far”

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If problem is (special case of) 0–1 integer linear program (ILP)

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1. 0–1 ILP **expressive formalism** for combinatorial problems (including objective)
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$$7\bar{r} + x_1 + 2\bar{x}_2 + 3x_3 + 4\bar{x}_4 + 5x_5 \geq 7$$

$$r \Leftarrow x_1 + 2\bar{x}_2 + 3x_3 + 4\bar{x}_4 + 5x_5 \geq 7$$

$$9r + \bar{x}_1 + 2x_2 + 3\bar{x}_3 + 4x_4 + 5\bar{x}_5 \geq 9$$

THE VERIPB FORMAT AND TOOL

<https://gitlab.com/MIA0research/software/VeriPB>



Released under MIT Licence

Various features to help development:

- ▶ Extended variable name syntax allowing human-readable names
- ▶ Proof tracing
- ▶ “Trust me” assertions for incremental proof logging

Documentation:

- ▶ Description of VERIPB checker [BMM⁺23] used in SAT 2023 competition (<https://satcompetition.github.io/2023/checkers.html>)
- ▶ Specific details on different proof logging techniques covered in research papers [EGMN20, GMN20, GMM⁺20, GN21, GMN22, GMNO22, VDB22, BBN⁺23, BGMN23, MM23]
- ▶ Lots of concrete example files at <https://gitlab.com/MIA0research/software/VeriPB>

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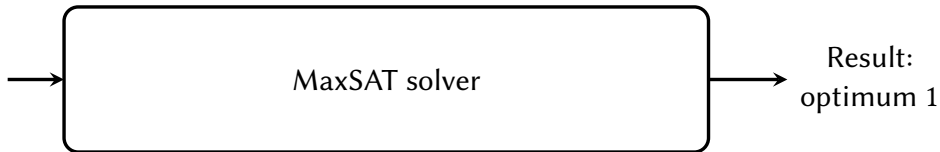
CERTIFIED MAXIMUM SATISFIABILITY (MAXSAT) SOLVING

Minimize linear objective subject to satisfying formula in conjunctive normal form (CNF)

$$\min 2x_1 + x_2$$

$$\text{s.t. } x_1 \vee \bar{z}$$

$$z \vee x_2$$



Many MaxSAT solvers internally make use of SAT solver.

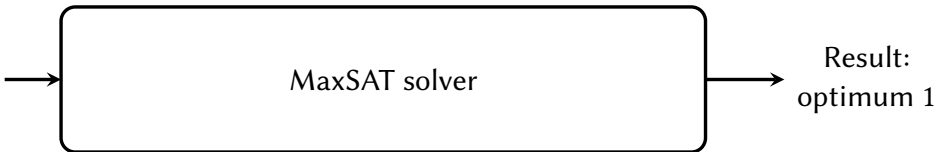
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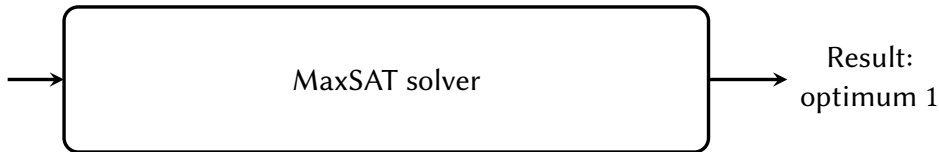
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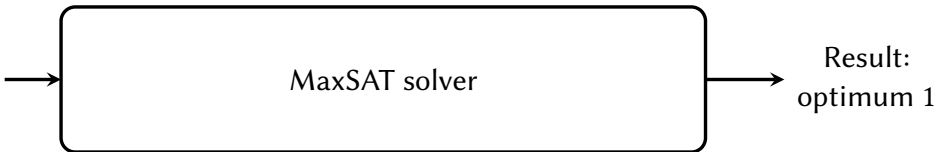
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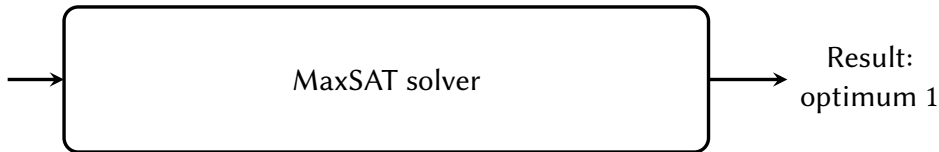
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Does not work Only proves answer correct, not reasoning within solver!

MAXSAT SOLVERS

Main categories:

- ▶ Linear SAT-UNSAT search (proof logging [VDB22, Van23, BBN⁺24])
 1. Call SAT solver to find some solution
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 1. Run CDCL SAT solver
 2. While running, add bounding constraints

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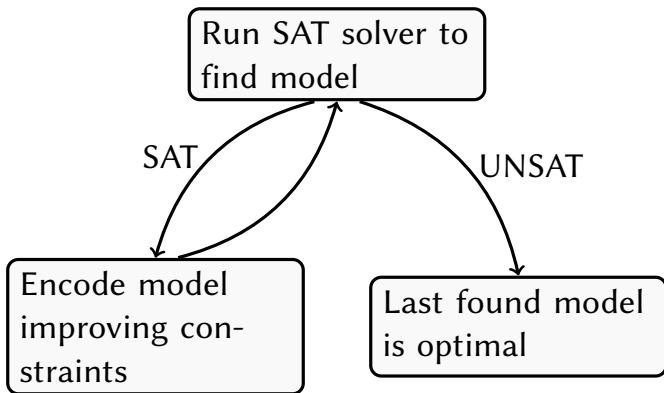
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 1. Run CDCL SAT solver
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- ▶ Implicit Hitting Set (No proof logging available yet)
 1. Call SAT solver to find solution under most optimistic assumptions
 2. Use hitting set solver (MIP solver) to recompute what most possible optimistic assumptions are
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LINEAR SAT-UNSAT SEARCH



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived

justification

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

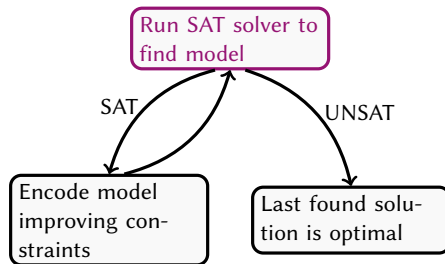
$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

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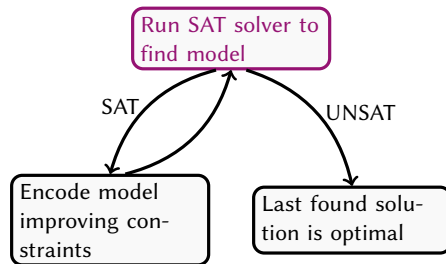
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CERTIFIED LSU SEARCH (EXAMPLE)

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derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation

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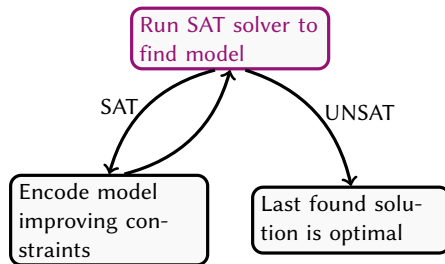
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$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

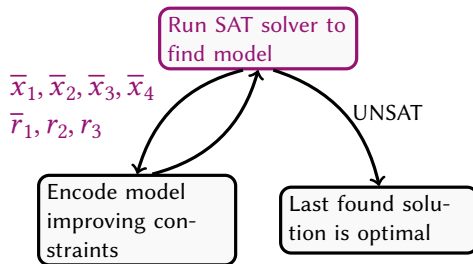
$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$ $\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Reverse Unit Propagation Incumbent solution

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

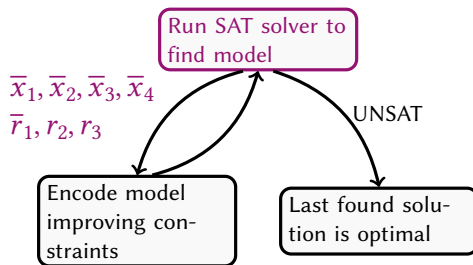
$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived

$$\begin{aligned}
 &x_2 + r_2 \geq 1 \\
 &\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\} \\
 &\sum_i r_i \leq 1
 \end{aligned}$$

justification

Reverse Unit Propagation
Incumbent solution
Objective Improvement Rule

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

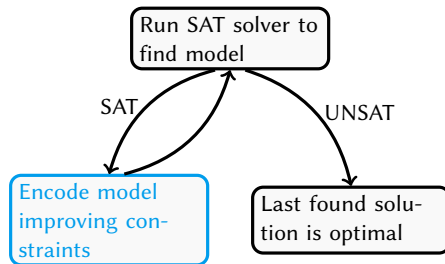
$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived

$$x_2 + r_2 \geq 1$$

$$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$$

$$\sum_i r_i \leq 1$$

$$\text{PB}(p_1 \Leftrightarrow (\sum_i r_i \geq 1))$$

$$\text{PB}(p_2 \Leftrightarrow (\sum_i r_i \geq 2))$$

justification

Reverse Unit Propagation

Incumbent solution

Objective Improvement Rule

Fresh variable

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

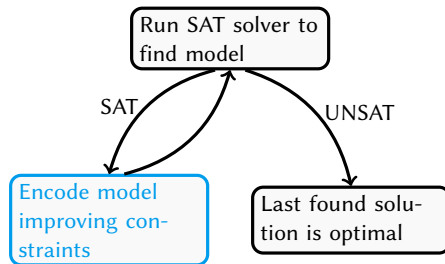
$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived

$$x_2 + r_2 \geq 1$$

$$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$$

$$\sum_i r_i \leq 1$$

$$j \cdot \bar{p}_j + \sum_i r_i \geq j$$

$$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$$

justification

Reverse Unit Propagation

Incumbent solution

Objective Improvement Rule

Fresh variable

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

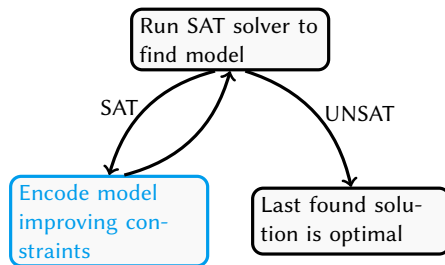
$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

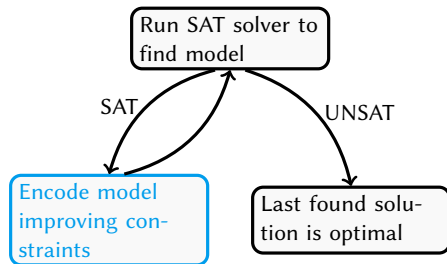
$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



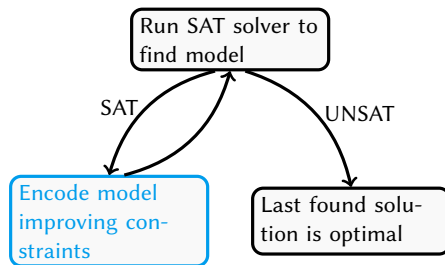
CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation

$$\begin{array}{ll} \bar{x}_1 \vee x_2 & \bar{x}_1 \vee \bar{x}_2 \vee r_1 \\ x_1 \vee \bar{x}_2 & x_1 \vee x_2 \vee r_2 \\ \bar{x}_2 \vee x_3 & x_2 \vee x_4 \vee r_3 \\ \bar{x}_3 \vee x_4 & x_2 \vee r_2 \\ \text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j)) & \end{array}$$



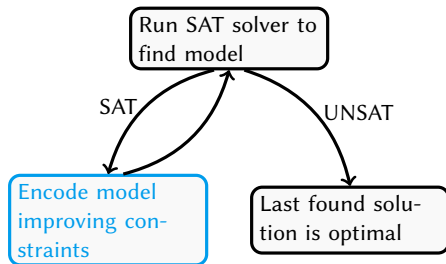
CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation

$$\begin{array}{ll} \bar{x}_1 \vee x_2 & \bar{x}_1 \vee \bar{x}_2 \vee r_1 \\ x_1 \vee \bar{x}_2 & x_1 \vee x_2 \vee r_2 \\ \bar{x}_2 \vee x_3 & x_2 \vee x_4 \vee r_3 \\ \bar{x}_3 \vee x_4 & x_2 \vee r_2 \\ \text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j)) & \end{array}$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

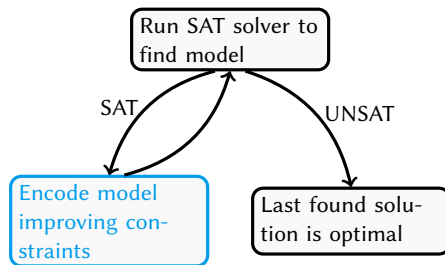
$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

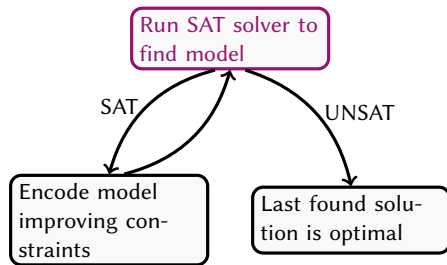
$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$

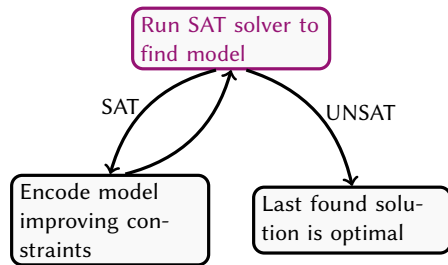
$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$x_4$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \bar{x}_2, \bar{x}_3, x_4, \bar{r}_1, r_2, \bar{r}_3\}$	Incumbent solution

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$

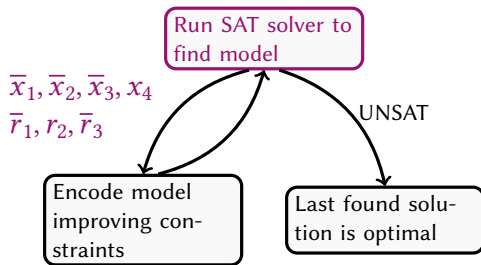
$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$x_4$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \bar{x}_2, \bar{x}_3, x_4, \bar{r}_1, r_2, \bar{r}_3\}$	Incumbent solution
$\sum_i r_i \leq 0$	Objective Improvement Rule

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$

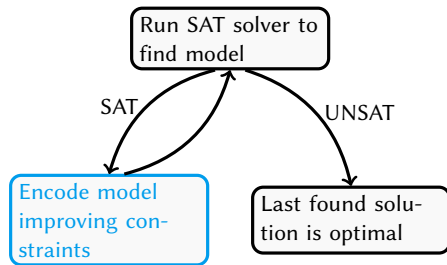
$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$x_4$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \bar{x}_2, \bar{x}_3, x_4, \bar{r}_1, r_2, \bar{r}_3\}$	Incumbent solution
$\sum_i r_i \leq 0$	Objective Improvement Rule
$\bar{p}_1 \geq 1$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$

$$\bar{p}_1$$

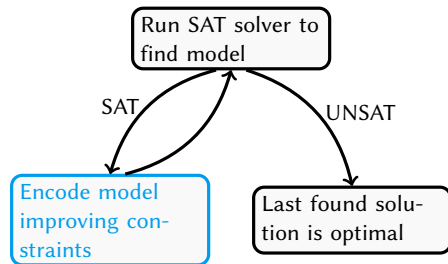
$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$x_4$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \bar{x}_2, \bar{x}_3, x_4, \bar{r}_1, r_2, \bar{r}_3\}$	Incumbent solution
$\sum_i r_i \leq 0$	Objective Improvement Rule
$\bar{p}_1 \geq 1$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$

$$\bar{p}_1$$

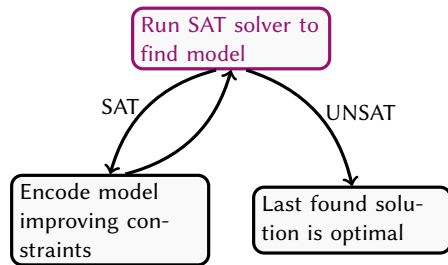
$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$x_4$$



CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \bar{x}_2, \bar{x}_3, x_4, \bar{r}_1, r_2, \bar{r}_3\}$	Incumbent solution
$\sum_i r_i \leq 0$	Objective Improvement Rule
$\bar{p}_1 \geq 1$	Explicit CP derivation
$0 \geq 1$	Reverse Unit Propagation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$$

$$\bar{p}_2$$

$$\bar{p}_1$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

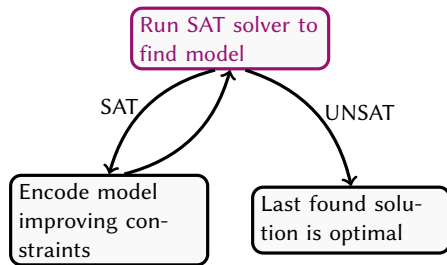
$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$

$$x_4$$

$$\perp$$



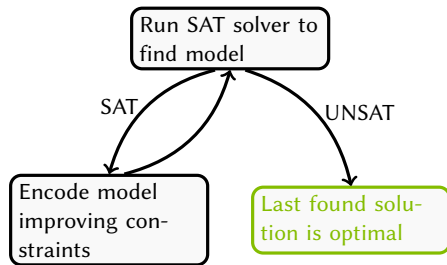
CERTIFIED LSU SEARCH (EXAMPLE)

Objective: $\min \sum_i r_i$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \dots, \bar{x}_4, \bar{r}_1, r_2, r_3\}$	Incumbent solution
$\sum_i r_i \leq 1$	Objective Improvement Rule
$j \cdot \bar{p}_j + \sum_i r_i \geq j$	Fresh variable
$(4 - j) \cdot p_j + \sum_i \bar{r}_i \geq 4 - j$	
$\text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j))$	Explicit CP derivation
$\bar{p}_2 \geq 1$	Explicit CP derivation
$x_4 \geq 1$	Reverse Unit Propagation
$\{\bar{x}_1, \bar{x}_2, \bar{x}_3, x_4, \bar{r}_1, r_2, \bar{r}_3\}$	Incumbent solution
$\sum_i r_i \leq 0$	Objective Improvement Rule
$\bar{p}_1 \geq 1$	Explicit CP derivation
$0 \geq 1$	Reverse Unit Propagation

$$\begin{array}{ll} \bar{x}_1 \vee x_2 & \bar{x}_1 \vee \bar{x}_2 \vee r_1 \\ x_1 \vee \bar{x}_2 & x_1 \vee x_2 \vee r_2 \\ \bar{x}_2 \vee x_3 & x_2 \vee x_4 \vee r_3 \\ \bar{x}_3 \vee x_4 & x_2 \vee r_2 \\ \text{CNF}(p_j \Leftrightarrow (\sum_i r_i \geq j)) & \\ \bar{p}_2 & x_4 \\ \bar{p}_1 & \perp \end{array}$$



LSU EXAMPLE IN VERIPB SYNTAX

pseudo-Boolean proof version 2.0

f 7

* Clauses derived by solver

rup 1 x1 1 r2 >= 1 ;

* Log incumbent solution

soli ~x1 ~x2 ~x3 ~x4 ~r1 r2 r3

* introduce fresh variables

red 2 ~p2 1 r1 1 r2 1 r3 >= 2 ; p2 -> 0 ;

red 2 p2 1 ~r1 1 ~r2 1 ~r3 >= 2; p2 -> 1 ;

red 1 ~p1 1 r1 1 r2 1 r3 >= 1; p1 -> 0 ;

red 3 p1 1 ~r1 1 ~r2 1 ~r3 >= 3; p1 -> 1 ;

* Derive CNF encoding of totalizer

... - coming soon

* Derive counter falsity

pol 9 10 + s

* Clauses derived by solver

rup 1 x4 >= 1 ;

* Log incumbent solution

soli ~x1 ~x2 ~x3 x4 ~r1 r2 ~r3

* Derive counter falsity

pol -1 12 +

* Inconsistency derived by solver

rup >= 1 ;

* Conclusion

output NONE

conclusion BOUNDS 1 1

end pseudo-Boolean proof

CERTIFIED ENCODING OF THE MODEL-IMPROVING CONSTRAINT

How to **encode** $p_j \Leftrightarrow \sum_i r_i \geq j$ in CNF?

CERTIFIED ENCODING OF THE MODEL-IMPROVING CONSTRAINT

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Different MaxSAT solvers use different **PB-to-CNF** encodings, e.g.,

- ▶ Totalizer Encoding [BB03]
- ▶ Binary Adder [War98]
- ▶ Modulo-Based Totalizer [OLH⁺13]
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Except... DPW turns out to use complicated **without-loss-of-generality** reasoning [BBN⁺24]

TOTALIZER ENCODING OF CARDINALITY CONSTRAINTS

How to encode $p_j^I \Leftrightarrow \sum_{i \in I} r_i \geq j$?

- ▶ **Totalizer** encoding [BB03]

TOTALIZER ENCODING OF CARDINALITY CONSTRAINTS

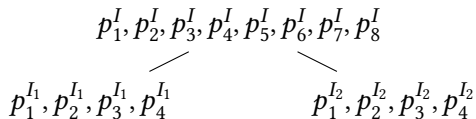
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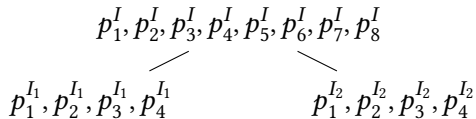
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Clauses encoding $p_6^I \Leftrightarrow \sum_{i \in I} r_i \geq 6$:

$$(p_2^{I_1} \wedge p_4^{I_2}) \Rightarrow p_6^I$$

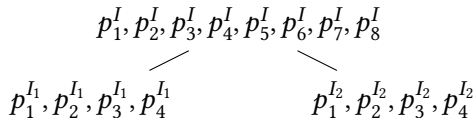
$$(p_3^{I_1} \wedge p_3^{I_2}) \Rightarrow p_6^I$$

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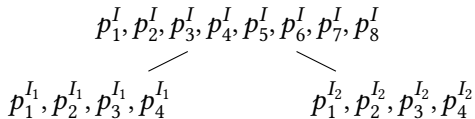
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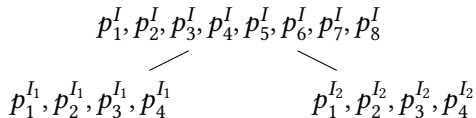
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CERTIFYING THE TOTALIZER ENCODING USING CUTTING PLANES

- ▶ To be derived: $\bar{p}_4^{I_1} \vee \bar{p}_2^{I_2} \vee p_6^I$

CERTIFYING THE TOTALIZER ENCODING USING CUTTING PLANES

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- ▶ Counting variables introduced using

$$4 \cdot \bar{p}_4^{I_1} + \sum_{i \in I_1} r_i \geq 4$$

$$2 \cdot \bar{p}_2^{I_2} + \sum_{i \in I_2} r_i \geq 2$$

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- ▶ Adding these three constraints yields

$$4 \cdot \bar{p}_4^{I_1} + 2 \cdot \bar{p}_2^{I_2} + 3 \cdot p_6^I + 8 \geq 9$$

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$$4 \cdot \bar{p}_4^{I_1} + 2 \cdot \bar{p}_2^{I_2} + 3 \cdot p_6^I + 8 \geq 9 \quad 1$$

CERTIFYING THE TOTALIZER ENCODING USING CUTTING PLANES

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- ▶ Adding these three constraints **and saturating** yields

$$\cancel{4} \cdot \bar{p}_4^{I_1} + \cancel{2} \cdot \bar{p}_2^{I_2} + \cancel{3} \cdot p_6^I + \mathbf{8} \geq \mathbf{9} \quad \mathbf{1}$$

COMPLETE LSU EXAMPLE IN VERIPB SYNTAX

pseudo-Boolean proof version 2.0

f 7

* Clauses derived by solver

rup 1 x1 1 r2 >= 1 ;

* Log incumbent solution

soli ~x1 ~x2 ~x3 ~x4 ~r1 r2 r3

* introduce fresh variables

red 2 ~p2 1 r1 1 r2 1 r3 >= 2 ; p2 -> 0 ;

red 2 p2 1 ~r1 1 ~r2 1 ~r3 >= 2; p2 -> 1 ;

red 1 ~p1 1 r1 1 r2 1 r3 >= 1; p1 -> 0 ;

red 3 p1 1 ~r1 1 ~r2 1 ~r3 >= 3; p1 -> 1 ;

* Auxiliary variables for CNF encoding

red 2 ~p_1-2_2 1 r1 1 r2 >= 2 ; p_1-2_2 -> 0 ;

red 1 p_1-2_2 1 ~r1 1 ~r2 >= 1; p_1-2_2 -> 1 ;

red 1 ~p_1-2_1 1 r1 1 r2 >= 1; p_1-2_1 -> 0 ;

red 2 p_1-2_1 1 ~r1 1 ~r2 >= 2; p_1-2_1 -> 1 ;

* Cutting planes derivation of totalizer clauses

pol 10 15 + s

pol 10 17 + ~r3 + s

pol 11 14 + r3 + s

pol 11 16 + s

pol 12 17 + s

pol 13 16 + r3 + s

pol 13 r1 + r2 + s

* Derive counter falsity

pol 9 10 + s

* Clauses derived by solver

rup 1 x4 >= 1 ;

* Log incumbent solution

soli ~x1 ~x2 ~x3 x4 ~r1 r2 ~r3

* Derive counter falsity

pol -1 12 +

* Inconsistency derived by solver

rup >= 1 ;

* Conclusion

output NONE

conclusion BOUNDS 1 1

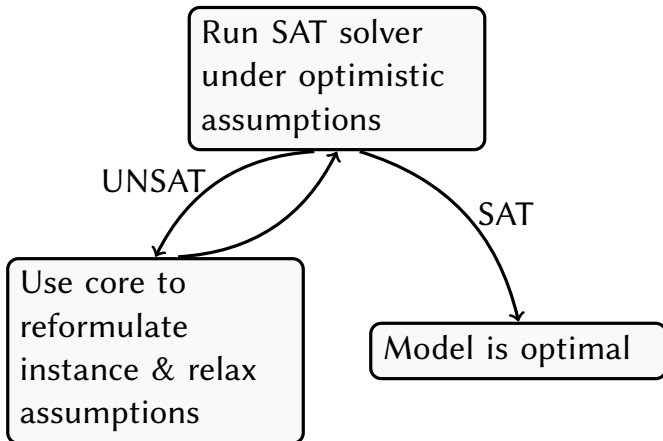
end pseudo-Boolean proof

OUTLINE

1. Introduction
 1. The Success of Combinatorial Solving (and the Dirty Little Secret...)
 2. Ensuring Correctness with the Help of Proof Logging
2. Proof Logging for SAT
 1. SAT Basics
 2. DPLL and CDCL
 3. Proof System for SAT Proof Logging
3. Pseudo-Boolean Proof Logging
 1. Pseudo-Boolean Constraints and Cutting Planes Reasoning
 2. Pseudo-Boolean Proof Logging for SAT Solving
 3. More Pseudo-Boolean Proof Logging Rules
4. Proof Logging for SAT-Based Optimisation (MaxSAT solving)
 1. Linear SAT-UNSAT Search
 2. Core-Guided Search
 3. Branch-And-Bound Search
5. Conclusion



CORE-GUIDED SEARCH



CERTIFIED CORE-GUIDED SEARCH (EXAMPLE)

Objective (*min*): $r_1 + r_2 + r_3$

VERIPB proof:

derived

justification

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

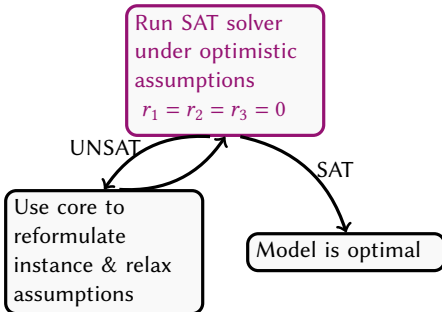
$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$



CERTIFIED CORE-GUIDED SEARCH (EXAMPLE)

Objective (*min*): $r_1 + r_2 + r_3$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
Core returned by solver: $r_1 + r_2 \geq 1$	Reverse Unit Propagation

$$\bar{x}_1 \vee x_2$$

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$$\bar{x}_3 \vee x_4$$

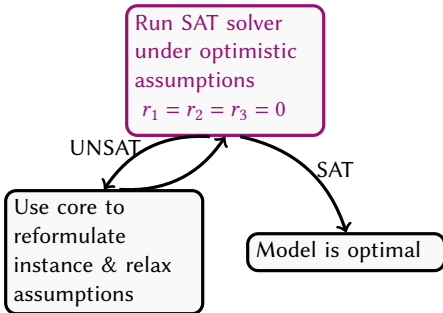
$$r_1 \vee r_2$$

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$p_2 \Leftrightarrow (r_1 + r_2 \geq 2)$	Fresh variable

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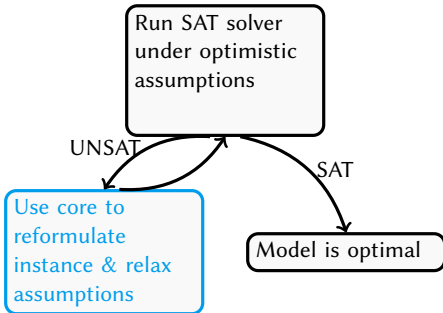
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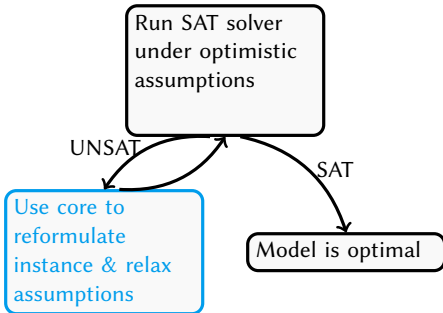
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$\text{CNF}(p_2 \Leftrightarrow (r_1 + r_2 \geq 2))$	Explicit CP derivation

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$$\bar{x}_3 \vee x_4$$

$$r_1 \vee r_2$$

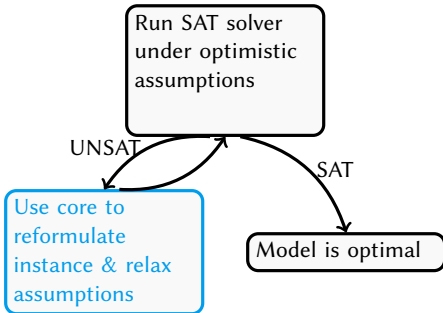
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$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED CORE-GUIDED SEARCH (EXAMPLE)

Objective (*min*): $r_1 + r_2 + r_3 = 1 + p_2 + r_3$

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
Core returned by solver:	
$r_1 + r_2 \geq 1$	Reverse Unit Propagation
$2 \cdot \bar{p}_2 + r_1 + r_2 \geq 2$	Fresh variable
$p_2 + \bar{r}_1 + \bar{r}_2 \geq 1$	
$\text{CNF}(p_2 \Leftrightarrow (r_1 + r_2 \geq 2))$	Explicit CP derivation
$r_1 + r_2 = 1 + p_2$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$r_1 \vee r_2$$

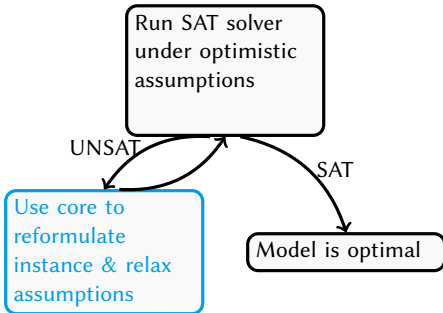
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$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED CORE-GUIDED SEARCH (EXAMPLE)

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VERIPB proof:

derived	justification
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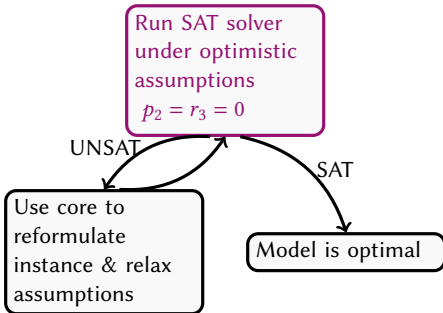
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$\text{CNF}(p_2 \Leftrightarrow (r_1 + r_2 \geq 2))$	Explicit CP derivation
$r_1 + r_2 = 1 + p_2$	Explicit CP derivation
$\{x_1, x_2, x_3, x_4, r_1, \bar{r}_2, \bar{r}_3\}$	Solution

$$\bar{x}_1 \vee x_2$$

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$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$r_1 \vee r_2$$

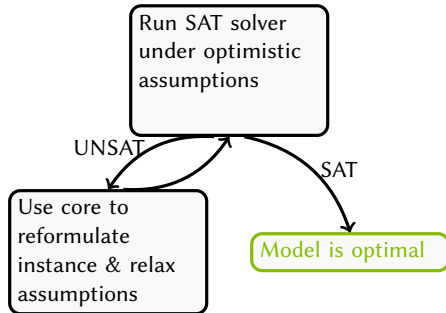
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$r_1 + r_2 = 1 + p_2$	Explicit CP derivation
$\{x_1, x_2, x_3, x_4, r_1, \bar{r}_2, \bar{r}_3\}$	Solution
$\bar{r}_1 + \bar{r}_2 + \bar{r}_3 \geq 3$	Objective Improvement

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$r_1 \vee r_2$$

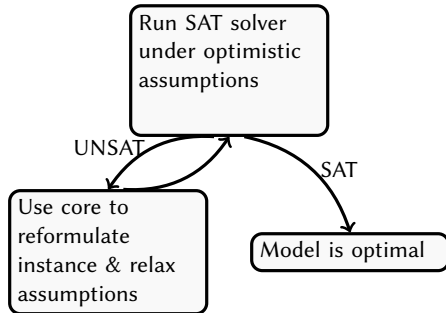
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$r_1 + r_2 \geq 1$	Reverse Unit Propagation
$2 \cdot \bar{p}_2 + r_1 + r_2 \geq 2$	Fresh variable
$p_2 + \bar{r}_1 + \bar{r}_2 \geq 1$	
$\text{CNF}(p_2 \Leftrightarrow (r_1 + r_2 \geq 2))$	Explicit CP derivation
$r_1 + r_2 = 1 + p_2$	Explicit CP derivation
$\{x_1, x_2, x_3, x_4, r_1, \bar{r}_2, \bar{r}_3\}$	Solution
$\bar{r}_1 + \bar{r}_2 + \bar{r}_3 \geq 3$	Objective Improvement
$0 \geq 1$	Explicit CP derivation

$$\bar{x}_1 \vee x_2$$

$$x_1 \vee \bar{x}_2$$

$$\bar{x}_2 \vee x_3$$

$$\bar{x}_3 \vee x_4$$

$$r_1 \vee r_2$$

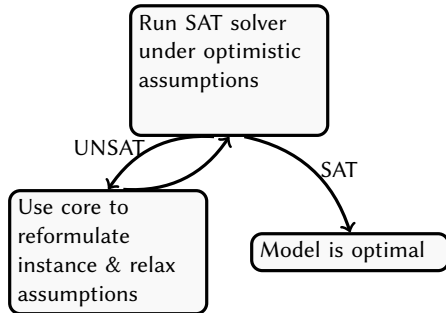
$$\text{CNF}(p_2 \Leftrightarrow (r_1 + r_2 \geq 2))$$

$$\bar{x}_1 \vee \bar{x}_2 \vee r_1$$

$$x_1 \vee x_2 \vee r_2$$

$$x_2 \vee x_4 \vee r_3$$

$$x_2 \vee r_2$$



CERTIFIED CORE-GUIDED SEARCH (EXAMPLE)

Objective (*min*): $r_1 + r_2 + r_3 = 1 + p_2 + r_3$

Explicit CP derivations:

CNF encoding (totalizer): cf. LSU

VERIPB proof:

derived	justification
$x_2 + r_2 \geq 1$	Reverse Unit Propagation
Core returned by solver:	
$r_1 + r_2 \geq 1$	Reverse Unit Propagation
$2 \cdot \bar{p}_2 + r_1 + r_2 \geq 2$	Fresh variable
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Adding up **definition of p_2** and **core constraint** yields

$$2 \cdot \bar{p}_2 + 2 \cdot r_1 + 2 \cdot r_2 \geq 3 \quad .$$

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Adding up **definition of p_2** and **core constraint and dividing by 2** yields

$$\cancel{2} \cdot \bar{p}_2 + \cancel{2} \cdot r_1 + \cancel{2} \cdot r_2 \geq 3 \cdot 2.$$

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which is the same as $r_1 + r_2 \geq 1 + p_2$.
Other direction already given

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Previously derived cores guarantee that objective is **at least 1**:

$$r_1 + r_2 (+ r_3) \geq 1$$

Adding this to objective improvement constraint gives contradiction

COMPLETE CG EXAMPLE IN VERIPB SYNTAX

pseudo-Boolean proof version 2.0

f 7

* Clauses derived by solver (inc core)

rup 1 x1 1 r2 >= 1 ;

rup 1 r1 1 r2 >= 1 ;

* Introduce fresh variable

red 2 ~p2 1 r1 1 r2 >= 2 ; p2 -> 0 ;

red 1 p2 1 ~r1 1 ~r2 >= 1; p2 -> 1 ;

* Encode this in CNF

pol 10 ~r1 +

pol 10 ~r2 +

* Rewriting the objective

pol 9 10 + 2 d

* Check that we have indeed

* derived that $r1 + r2 = 1 + p2$

e 14 : 1 r1 1 r2 -1 p2 >= 1 ;

e 11 : -1 r1 -1 r2 1 p2 >= -1 ;

* Solution found

soli x1 x2 x3 x4 r1 ~r2 ~r3

* Prove optimality of solution:

pol -1 9 +

ia -1 : >= 1 ;

* Conclusion

output NONE

conclusion BOUNDS 1 1

end pseudo-Boolean proof

ADVANCED TECHNIQUES FOR CORE-GUIDED MAXSAT

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 - ▶ Hardening [ABGL12]
 - ▶ Lazy counter variables [MJML14]
- ▶ VERIPB Proof logging also convenient for these techniques [BBN⁺23]

OUTLINE

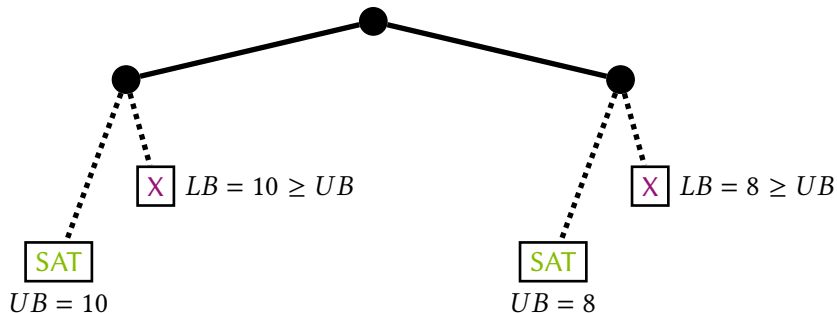
1. Introduction
 1. The Success of Combinatorial Solving (and the Dirty Little Secret...)
 2. Ensuring Correctness with the Help of Proof Logging
2. Proof Logging for SAT
 1. SAT Basics
 2. DPLL and CDCL
 3. Proof System for SAT Proof Logging
3. Pseudo-Boolean Proof Logging
 1. Pseudo-Boolean Constraints and Cutting Planes Reasoning
 2. Pseudo-Boolean Proof Logging for SAT Solving
 3. More Pseudo-Boolean Proof Logging Rules
4. Proof Logging for SAT-Based Optimisation (MaxSAT solving)
 1. Linear SAT-UNSAT Search
 2. Core-Guided Search
 3. Branch-And-Bound Search
5. Conclusion



BRANCH AND BOUND

Branch and Bound:

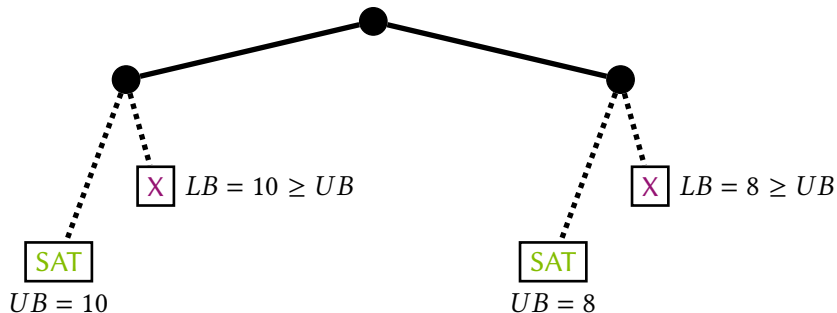
- ▶ Explore the search tree for solutions
- ▶ Update **Upper Bound** UB when solution with better objective value is found
- ▶ Underestimate **Lower Bound** LB at every node
- ▶ Prune branch when conflict found or when $LB \geq UB$



MAXCDCL AS BRANCH AND BOUND

Branch and Bound in MaxCDCL:

- ▶ Explore the search tree for solutions
- ▶ Update **Upper Bound** UB when solution with better objective value is found
- ▶ Underestimate **Lower Bound** LB at every node **using lookahead with UP**
- ▶ Prune branch when conflict found or when $LB \geq UB$ **and learn a clause**



MAXCDCL AS CDCL GENERALIZATION

MaxCDCL conflicts:

- ▶ **Hard conflict:**
 - ▶ A clause is falsified

- ▶ **Soft conflict:**
 - ▶ (underestimated) $LB \geq UB$

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In both cases: conflict analysis for learning new clause (CDCL)

LOOKAHEAD: LB UNDERESTIMATION (UNWEIGHTED CASE)

Lookahead with UP for underestimating LB:

1. Assume unassigned objective literals false and apply UP until:
 - ▶ A hard clause is falsified
 - ▶ Or a not yet assigned objective literal is assigned 1
2. We have found a **local unsatisfiable core**
3. Since unweighted case: Each **disjoint** core increases the LB by 1
4. When $LB \geq UB$, a soft conflict is found

SOFT CONFLICT DETECTION BY EXAMPLE (UNWEIGHTED CASE)

$$f^t = y_1 + y_2 + y_3 + y_4 + y_5 + y_6 + y_7 + y_8 \quad UB = 3$$

Trail: $x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$

SOFT CONFLICT DETECTION BY EXAMPLE (UNWEIGHTED CASE)

$$f^t = \cancel{y_1} + y_2 + y_3 + y_4 + y_5 + y_6 + y_7 + y_8 \quad UB = 3$$

$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

Find one core:

$$x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p \overline{y_1^a} x_9^p x_{10}^p$$

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SOFT CONFLICT DETECTION BY EXAMPLE (UNWEIGHTED CASE)

$$f^t = \cancel{y_1} + \cancel{y_2} + \cancel{y_3} + \cancel{y_4} + y_5 + y_6 + y_7 + y_8 \quad UB = 3$$

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$$x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p \overline{y_1^a} \quad \overline{y_2^a} \quad \overline{y_3^a} \overline{y_4^a} \quad (\text{Assumptions suffice})$$

SOFT CONFLICT DETECTION BY EXAMPLE (UNWEIGHTED CASE)

$$f^t = \cancel{y_1} + y_2 + y_3 + \cancel{y_4} + y_5 + y_6 + y_7 + y_8 \quad UB = 3$$

$$\text{Trail: } x_1^d \ \overline{x_2^p} \ x_3^p \ \overline{x_4^d} \ x_5^p \ x_6^p \ x_7^p$$

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$$\overline{x_2^p} \quad \overline{x_4^d} \quad \overline{y_1^a} \quad \overline{y_4^a} \quad (\text{Conflict analysis})$$

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$$\bar{x}_2^p \quad \bar{x}_4^d \quad \bar{y}_1^a \quad \bar{y}_4^a \quad (\text{Conflict analysis})$$

Local core:

$$\bar{x}_2 \wedge \bar{x}_4 \wedge \bar{y}_1 \wedge \bar{y}_4 \rightarrow \square$$

$$\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_4 \quad (\text{Reasons} \rightarrow \text{Core})$$

SOFT CONFLICT DETECTION: FULL EXAMPLE (UNWEIGHTED CASE)

$$f = y_1 + y_2 + y_3 + y_4 + y_5 + y_6 + y_7 + y_8 \quad UB = 3$$

$$\text{Trail: } x_1^d \ \overline{x_2^p} \ x_3^p \ \overline{x_4^d} \ x_5^p \ x_6^p \ x_7^p$$

Found disjoint local cores

$$\text{Core 1: } \overline{x_2} \wedge \overline{x_4} \rightarrow y_1 \vee y_4$$

$$\text{Core 2: } \overline{x_2} \wedge x_7 \rightarrow y_2 \vee y_3 \vee y_5$$

$$\text{Core 3: } x_1 \wedge \overline{x_4} \wedge x_7 \rightarrow y_6 \vee y_7$$

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$$f = y_1 + y_2 + y_3 + y_4 + y_5 + y_6 + y_7 + y_8 \quad UB = 3$$

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Soft conflict:

$$x_1^d \bar{x}_2^p x_3^p \bar{x}_4^d x_5^p x_6^p x_7^p, \quad \text{Conflict } \bar{x}_1 \vee x_2 \vee x_4 \vee \bar{x}_7 \text{ (soft conflict)}$$

SOFT CONFLICT DETECTION (WEIGHTED CASE)

Weighted MaxCDCL

- ▶ Weight of Local Core K = smallest weight of objective literals in K
- ▶ Each objective literal can contribute to many cores
- ▶ The total contribution of a literal cannot exceed its weight

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- ▶ Weight of Local Core K = smallest weight of objective literals in K
- ▶ Each objective literal can contribute to many cores
- ▶ The total contribution of a literal cannot exceed its weight

$$f^t = 7y_1 + 2y_2 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \quad UB = 4$$

$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

Found local cores

SOFT CONFLICT DETECTION (WEIGHTED CASE)

Weighted MaxCDCL

- ▶ Weight of Local Core K = smallest weight of objective literals in K
- ▶ Each objective literal can contribute to many cores
- ▶ The total contribution of a literal cannot exceed its weight

$$f^t = 7y_1 + 2y_2 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \quad UB = 4$$

$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

Found local cores

Core 1: $\overline{x_2} \wedge \overline{x_4} \rightarrow y_1 \vee y_2$ (weight 2)

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Weighted MaxCDCL

- ▶ Weight of Local Core K = smallest weight of objective literals in K
- ▶ Each objective literal can contribute to many cores
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$$f^t = 75y_1 + 20y_2 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \quad UB = 4$$

$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

Found local cores

Core 1: $\overline{x_2} \wedge \overline{x_4} \rightarrow y_1 \vee y_2$ (weight 2)

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$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

Found local cores

$$\text{Core 1: } \overline{x_2} \wedge \overline{x_4} \rightarrow y_1 \vee y_2 \text{ (weight 2)}$$

$$\text{Core 2: } x_3 \wedge \overline{x_4} \rightarrow y_1 \vee y_5 \text{ (weight 1)}$$

SOFT CONFLICT DETECTION (WEIGHTED CASE)

Weighted MaxCDCL

- ▶ Weight of Local Core K = smallest weight of objective literals in K
- ▶ Each objective literal can contribute to many cores
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$$f^t = 7x_1 + 5x_2 + 4y_1 + 2x_3 + 0y_2 + 1y_3 + 1y_4 + 1x_5 + 0y_5 + 4y_6 + 1y_7 + 3y_8 \quad UB = 4$$

$$\text{Trail: } x_1^d \bar{x}_2^p \bar{x}_3^p \bar{x}_4^d x_5^p x_6^p x_7^p$$

Found local cores

$$\text{Core 1: } \bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2 \text{ (weight 2)}$$

$$\text{Core 2: } x_3 \wedge \bar{x}_4 \rightarrow y_1 \vee y_5 \text{ (weight 1)}$$

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$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

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$$\text{Core 1: } \overline{x_2} \wedge \overline{x_4} \rightarrow y_1 \vee y_2 \text{ (weight 2)}$$

$$\text{Core 2: } x_3 \wedge \overline{x_4} \rightarrow y_1 \vee y_5 \text{ (weight 1)}$$

$$\text{Core 3: } x_1 \rightarrow y_1 \vee y_6 \vee y_8 \text{ (weight 3)}$$

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Found local cores

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (weight 2)

~~Core 2: $x_3 \wedge \bar{x}_4 \rightarrow y_1 \vee y_5$ (weight 1)~~

Core 3: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (weight 3)

SOFT CONFLICT DETECTION (WEIGHTED CASE)

Weighted MaxCDCL

- ▶ Weight of Local Core K = smallest weight of objective literals in K
- ▶ Each objective literal can contribute to many cores
- ▶ The total contribution of a literal cannot exceed its weight

$$f^t = 7 \cdot 5 \cdot 2y_1 + 2 \cdot 0y_2 + 1y_3 + 1y_4 + 1y_5 + 4 \cdot 1y_6 + 1y_7 + 3 \cdot 0y_8 \quad UB = 4$$

$$\text{Trail: } x_1^d \overline{x_2^p} x_3^p \overline{x_4^d} x_5^p x_6^p x_7^p$$

Found local cores

Core 1: $\overline{x_2} \wedge \overline{x_4} \rightarrow y_1 \vee y_2$ (weight 2)

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- ▶ Weight of Local Core K = smallest weight of objective literals in K
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$$f^t = 7x_1 + 5\bar{x}_2 + 2x_3 + 0\bar{x}_4 + 1x_5 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \quad UB = 4$$

$$\text{Trail: } x_1^d \bar{x}_2^p x_3^p \bar{x}_4^d x_5^p x_6^p x_7^p$$

Found local cores

$$\text{Core 1: } \bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2 \quad (\text{weight 2})$$

$$\text{Core 3: } x_1 \rightarrow y_1 \vee y_6 \vee y_8 \quad (\text{weight 3})$$

$$\text{Conclusion: } x_1 \wedge \bar{x}_2 \wedge \bar{x}_4 \rightarrow LB = 5 \geq 4 = UB \quad \text{Soft Conflict clause: } \bar{x}_1 \vee x_2 \vee x_4$$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1$ $UB = 4$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1 \quad UB = 4$

Found “disjoint” cores

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

Core 2: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (3)

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1 \quad UB = 4$

Found “disjoint” cores

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

PB: $x_2 + x_4 + y_1 + y_2 \geq 1$

Core 2: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (3)

PB: $\bar{x}_1 + y_1 + y_6 + y_8 \geq 1$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1 \quad UB = 4$

Found “disjoint” cores (RUP)

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

PB: $x_2 + x_4 + y_1 + y_2 \geq 1$

Core 2: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (3)

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PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1 \quad UB = 4$

Found “disjoint” cores (RUP)

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

PB: $2x_2 + 2x_4 + 2y_1 + 2y_2 \geq 2 \dagger$

Core 2: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (3)

PB: $3\bar{x}_1 + 3y_1 + 3y_6 + 3y_8 \geq 3 \dagger$

Multiplication by their weight

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1 \quad UB = 4$

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Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

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Core 2: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (3)

PB: $3\bar{x}_1 + 3y_1 + 3y_6 + 3y_8 \geq 3 \dagger$

Multiplication by their weight and addition:

$3\bar{x}_1 + 2x_2 + 2x_4 + 5y_1 + 2y_2 + 3y_6 + 3y_8 \geq 5$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1 \quad UB = 4$

Found “disjoint” cores (RUP)

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

$$\text{PB: } 2x_2 + 2x_4 + 2y_1 + 2y_2 \geq 2 \dagger$$

Core 2: $x_1 \rightarrow y_1 \vee y_6 \vee y_8$ (3)

$$\text{PB: } 3\bar{x}_1 + 3y_1 + 3y_6 + 3y_8 \geq 3 \dagger$$

Multiplication by their weight and addition:

$$3\bar{x}_1 + 2x_2 + 2x_4 + 5y_1 + 2y_2 + 3y_6 + 3y_8 \geq 5$$

Model improving constraint

$$7y_1 + 2y_2 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \leq 3$$

PROOF LOGGING SOFT CONFLICTS

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Model improving constraint

$7y_1 + 2y_2 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \leq 3$

In normalized form:

$7\bar{y}_1 + 2\bar{y}_2 + 1\bar{y}_3 + 1\bar{y}_4 + 1\bar{y}_5 + 4\bar{y}_6 + 1\bar{y}_7 + 3\bar{y}_8 \geq 20 - 3$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1$ $UB = 4$

Found “disjoint” cores (RUP)

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

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In normalized form:

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By adding literal axioms:

$$5\bar{y}_1 + 2\bar{y}_2 + 3\bar{y}_6 + 3\bar{y}_8 \geq 13 - 3$$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1$ $UB = 4$

Found “disjoint” cores (RUP)

Core 1: $\bar{x}_2 \wedge \bar{x}_4 \rightarrow y_1 \vee y_2$ (2)

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$3\bar{x}_1 + 2x_2 + 2x_4 + 5y_1 + 2y_2 + 3y_6 + 3y_8 \geq 5$

Model improving constraint

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In normalized form:

$7\bar{y}_1 + 2\bar{y}_2 + 1\bar{y}_3 + 1\bar{y}_4 + 1\bar{y}_5 + 4\bar{y}_6 + 1\bar{y}_7 + 3\bar{y}_8 \geq 20 - 3$

By adding literal axioms:

$5\bar{y}_1 + 2\bar{y}_2 + 3\bar{y}_6 + 3\bar{y}_8 \geq 13 - 3$

Addition:

$3\bar{x}_1 + 2x_2 + 2x_4 + 5y_1 + 5\bar{y}_1 + 2y_2 + 2\bar{y}_2 + 3y_6 + 3\bar{y}_6 + 3y_8 + 3\bar{y}_8 \geq 13 + 5 - 3$

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To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1$ $UB = 4$

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$$\text{PB: } 3\bar{x}_1 + 3y_1 + 3y_6 + 3y_8 \geq 3 \dagger$$

Multiplication by their weight and addition:

$$3\bar{x}_1 + 2x_2 + 2x_4 + 5y_1 + 2y_2 + 3y_6 + 3y_8 \geq 5$$

Addition:

$$3\bar{x}_1 + 2x_2 + 2x_4 + \cancel{5y_1} + \cancel{5\bar{y}_1} + \cancel{2y_2} + \cancel{2\bar{y}_2} + 3y_6 + \cancel{3\bar{y}_6} + 3y_8 + \cancel{3\bar{y}_8} \geq \cancel{13} + 5 - 3$$

Model improving constraint

$$7y_1 + 2y_2 + 1y_3 + 1y_4 + 1y_5 + 4y_6 + 1y_7 + 3y_8 \leq 3$$

In normalized form:

$$7\bar{y}_1 + 2\bar{y}_2 + 1\bar{y}_3 + 1\bar{y}_4 + 1\bar{y}_5 + 4\bar{y}_6 + 1\bar{y}_7 + 3\bar{y}_8 \geq 20 - 3$$

By adding literal axioms:

$$5\bar{y}_1 + 2\bar{y}_2 + 3\bar{y}_6 + 3\bar{y}_8 \geq 13 - 3$$

PROOF LOGGING SOFT CONFLICTS

To Derive: $\bar{x}_1 + x_2 + x_4 \geq 1$ $UB = 4$

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Addition:

$$3\bar{x}_1 + 2x_2 + 2x_4 + \cancel{5y_1} + \cancel{5\bar{y}_1} + \cancel{2y_2} + \cancel{2\bar{y}_2} + 3y_6 + \cancel{3\bar{y}_6} + 3y_8 + \cancel{3\bar{y}_8} \geq \cancel{13} + 5 - 3$$

Division by a large enough number (and rounding up): $\bar{x}_1 + x_2 + x_4 \geq 1$

PROOF LOGGING MAXCDCL

Certifying Optimality:

- ▶ Unit propagation in MaxCDCL derives conflict at $DL = 0$
- ▶ Proof: $RUP\ 0 \geq 1$

PROOF LOGGING MAXCDCL

Certifying Optimality:

- ▶ Unit propagation in MaxCDCL derives conflict at $DL = 0$
- ▶ Proof: $RUP\ 0 \geq 1$

Extra techniques included in paper:

- ▶ **Literal Unlocking** for unweighted case
 - ▶ Find cardinality constraints over disjoint set literals as “local cores”
- ▶ Encoding Solution-Improving Constraint using **Multi-Valued Decision Diagram encoding**

OUTLINE

1. Introduction
 1. The Success of Combinatorial Solving (and the Dirty Little Secret...)
 2. Ensuring Correctness with the Help of Proof Logging
2. Proof Logging for SAT
 1. SAT Basics
 2. DPLL and CDCL
 3. Proof System for SAT Proof Logging
3. Pseudo-Boolean Proof Logging
 1. Pseudo-Boolean Constraints and Cutting Planes Reasoning
 2. Pseudo-Boolean Proof Logging for SAT Solving
 3. More Pseudo-Boolean Proof Logging Rules
4. Proof Logging for SAT-Based Optimisation (MaxSAT solving)
 1. Linear SAT-UNSAT Search
 2. Core-Guided Search
 3. Branch-And-Bound Search
5. Conclusion



SUMMING UP

- ▶ **Combinatorial solving and optimization** is a true success story
- ▶ But **ensuring correctness** is a crucial, and not yet satisfactorily addressed, concern
- ▶ **Certifying solvers** producing **machine-verifiable proofs** of correctness seems like most promising approach
- ▶ **Cutting planes reasoning** with **pseudo-Boolean constraints** seems to hit a sweet spot between simplicity and expressivity
- ▶ Here demonstrated for **MaxSAT**, but also used in many other applications

CERTIFIED FIRST-ORDER MODEL EXPANSION (CERTIFOX)



- ▶ Start from **first-order** problem representation

CERTIFIED FIRST-ORDER MODEL EXPANSION (CERTIFOX)



- ▶ Start from **first-order** problem representation
- ▶ Study various forms of **proof composition** and **without-loss-of-generality reasoning**

CERTIFIED FIRST-ORDER MODEL EXPANSION (CERTIFOX)



- ▶ Start from **first-order** problem representation
- ▶ Study various forms of **proof composition** and **without-loss-of-generality reasoning**
- ▶ Interested? I'm **looking for PostDocs** to join the proof logging revolution.

<https://www.bartbogaerts.eu/projects/CertiFOX/>



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